

# Bubble nucleation in the two-flavor quark-meson model\*

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**Abstract:** We investigate the dynamics of a first-order quark-hadron transition via homogeneous thermal nucleation in the two-flavor quark-meson model. The contribution of the fermionic vacuum loop in the effective thermodynamics potential and phase diagram, together with the location of the critical endpoint (CEP), is obtained in the temperature and chemical potential plane. For weak and strong first-order phase transitions, by taking the temperature as a variable, the critical bubble profiles, evolutions of the surface tension, and saddle-point action in the presence of a nucleation bubble are numerically calculated in detail when fixing the chemical potentials at  $\mu = 306$  MeV and  $\mu = 309$  MeV. Our results show that the system could be trapped in the metastable state for a long time as long as the temperature is between the metastable region characterized by the up and low spinodal lines. Moreover, the surface tension at criticality will rise to approximately  $4 \text{ MeV/fm}^2$  when the chemical potential is very high. Such a small surface tension value would favor a mixed phase in the cores of compact stars and may have an important implication in astrophysics.

**Keywords:** quark-meson model, nucleation, false vacuum decay, surface tension, first-order phase transition

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## I. INTRODUCTION

It is widely believed that the hadronic matter characterized by confinement and chiral-symmetry at low net baryon densities undergoes a phase transition into a deconfined and chirally symmetric quark-gluon-plasma (QGP) through a smooth crossover with an increase in temperature. At high densities, some studies predict a first-order phase transition line separating the hadronic matter from the QGP and more possible exotic phases. At end of this line, a so-called critical endpoint (CEP) should exist where the transition should of continuous second-order. Investigation and identification of the phase diagram is one of the most challenging problems in high energy physics and astrophysics [1–3]; this study is experimentally supported by the heavy-ion collision experiments, such as the Relativistic Heavy Ion Collider (RHIC) at Brookhaven National Laboratory and the Large Hadron Collider (LHC) at CERN. These experiments allow us to inspect and reveal the fundamental properties of the strong interaction. Moreover, to explore a wider range of the QCD phase diagram up to several times the normal nuclear-matter density, the new Facility

for Antiproton and Ion Research at Darmstadt, the Nuclotron-based Ion Collider Facility at the Joint Institute for Nuclear Research in Dubna, and the Japan Proton Accelerator Research Complex at Japan Atomic Energy Research Institute and Japan's National Laboratory for High Energy Physics have been scheduled and planned, and the CEP can be explored in phase II of Beam Energy Scan program at RHIC and in upcoming experiments [4, 5].

From a theoretical point of view, Quantum Chromodynamics (QCD), the gauge theory describing strong interactions in elementary particle physics, is applicable for determining the properties of strongly interacting matter at finite temperature and density. However, due to the fermion sign problem, an *ab initio* approach, Lattice Field Theory, is severely hampered by the failure of importance sampling if a chemical potential is involved [6]. In order to describe the low-energy nonperturbative phenomena in the framework of QCD theory, an alternative approach is adoption of effective models possessing two salient features of QCD, i.e., chiral symmetry and confinement. A few of these effective models, which have been successfully utilized for many decades, are the Nambu-Jona-Lasinio (NJL) model [7, 8], the linear sigma

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model (LSM) [9] and their modernized extensions, the Polyakov Nambu-Jona-Lasinio model (PNJL) [10, 11] and the Polyakov Quark Meson Model (PQM) [12–14].

Recently, after the discovery of gravitational waves by LIGO Collaboration [15], the subject of cosmological first-order phase transition has gathered increasing interest due to the stochastic gravitational wave background produced [16–18]. The stochastic gravitational wave background could be detected by current and near future detectors. Observing this signal would provide us with the earliest known probe of the universe. Moreover, aside from the early universe phenomena in primordial first-order phase transition, the observation of gravitational waves also shed light on the field of astrophysics. Future gravitational wave observations related to a first-order quark-hadron phase transition would enable the probing of the equation of state for matter under extreme circumstances and cause a constraint on the quark-hadron surface tension. In combination with other observations, astrophysics has now entered the multimessenger era [19–25]. Therefore, understanding the dynamics of the first-order phase transition is crucial. With upcoming gravitational waves experiments, exploration of anticipated phenomenology tightly connected with its underlying fundamental mechanism is essential.

It is well known that the dynamics of first-order phase transition in the early universe and heavy-ion collisions at ultrarelativistic energies can be applied through the homogeneous nucleation theory [26, 27]. The modern theory pioneered by Langer in the late 1960s in the context of classical statistical mechanics [28, 29] has been extended to relativistic quantum field theory by Callan and Coleman for zero temperature [30–32] and by Affleck [33] and Linde [34, 35] for finite temperature. The remarkable goal of a nucleation theory is to calculate the nucleation rate of a bubble or droplet of a stable (true) vacuum inside a metastable (false) vacuum near the critical temperature. Suppose that a system is near its critical temperature owing to the thermal and quantum fluctuations of any thermodynamic systems, then bubbles of the stable vacuum created by fluctuations may grow or shrink inside the homogeneous false vacuum depending on its energy budget with regard to the false vacuum. If a droplet is too small, the free energy gain from the phase transition of the bulk is less than the energy cost in creating an interface between two vacua. The droplet will shrink and evaporate if the total free energy is positive. On the other hand, if the droplet is large, a bulk free energy gain is relatively large, and the surface energy cost is negligible, the droplet will tend to grow and eventually occupy the whole system, completing the phase conversion.

For a strong first-order phase transition, which is usually characterized by an effective potential with a zero-temperature potential barrier, the quark-hadron phase conversion dynamics based on the Friedberg-Lee (FL)

model [36] have been studied numerically [37], and the findings have also been compared to the analytic results obtained with the thin-wall approximation [38]. Since the FL model lacks chiral symmetry, the model only predicts a first-order phase transition in the entire QCD phase diagram. This, of course, conflicts with other studies based on lattice simulations or chiral models, so that the model can merely serve as the prototypical toy model for current interests. To fix this problem, it is necessary to introduce chiral symmetry in the FL model to properly describe the hadron-quark phase transition beyond the first-order transition. Then, the quark meson model, treated as an upgrade to the FL model, seems to fulfill the requirements in both the studies of the static nucleon properties and the QCD phase transition [39, 40]. In the framework of the quark meson model, homogeneous bubble nucleation has been initially investigated both in numerical and analytic methods in Ref. [41], but the study was constrained at the temperature below the critical temperature, and an unphysical coupling constant was chosen to enhance the strength of the first-order phase transition. Furthermore, with the thin-wall approximation, bubble nucleations at low temperatures, high density, and a strong magnetic field have been previously investigated in Refs. [42, 43]. A key ingredient in a first-order phase transition is the surface tension. By using the analytical method or thin-wall approximation, surface tensions and phase diagrams have been obtained in the quark meson model with the Polyakov-loop in Refs. [44, 45].

In this work, we carry out a systematic and complete study on the dynamics of the first-order quark-hadron phase transition through the exact numerical method based on the following three important points: The first and most important point is that the thin-wall approximation is not applicable when the temperature goes far from the critical temperature, since the radius of the bounce is comparable with the thickness of the bubble wall and the friction force term can not be discarded. The situation will become worse for a weak first-order phase transition because the first-order phase conversion will turn from a homogeneous bubble nucleation to a spinodal decomposition when the temperature approaches the spinodal line, and the thin-wall approximation should break down accordingly. As such, the exact numerical method is crucial and necessary. In the following discussion, we will compare our results with the recent findings in the quark meson model within the thin-wall approximation [42–46]. The second point is that the contribution of the sea quark in the pressure is usually ignored in previous studies. However, it plays a significant role in the study of the hadron quark phase transition because it softens the first-order phase transition and dramatically reduces the surface tension of the hadron quark interface [47–49]. Moreover, in the chiral limit, the inclusion of the fermionic vacuum term can influence the order of the chiral

phase transition in the QM and PQM model and give out a second-order phase transition, which is in agreement with the universality argument based on the  $O(4)$  symmetry [47]. In this work, we will include the fermion vacuum fluctuation in the effective potential to present a more realistic phase diagram and QCD thermodynamics. Finally, the surface tension of the interface between two vacua plays a central role in the procedure of a first-order phase transition, as it is the amount of energy per unit area required to create the interface between the quark and hadron phases. Consequently, several recent studies and efforts have been focused on this problem. However, the saddle-point action evaluated on the bounce solution is also important in determining the nucleation rate of the true vacuum inside the homogeneous false vacuum. Moreover, a first-order phase transition is not a fast conversion; it does not happen exactly at the critical temperature. To obtain the specific moment at which the phase conversion is to be completed, or more specifically, the phase boundary of the quark phase or the hadron phase, we need to compute this action precisely, especially when the temperature is very close to the spinodal temperature. Therefore, in this work, the saddle-point action will be calculated by adopting the realistic coupling constant when the temperature is below the critical coexistence line and the temperature is above the critical value.

The remainder of the paper is structured as follows: In the next section, we briefly describe the quark meson model. After that, we discuss the effective potential at finite temperatures and densities and present a phase diagram of the QCD phase transition. In Sec. IV, we give a detailed description of homogeneous nucleation and the methods used for both numerical and analytic computations of the critical bubble profiles. Our results and discussions are presented in Sec. V, while in the last section, we present our conclusions.

## II. THE MODEL

In terms of chiral fields, the Lagrangians of two massless noninteracting quarks  $u$  and  $d$  are invariant under the global  $SU(2)_L \times SU(2)_R$  chiral phase transformations

$$\psi_{L,R} \rightarrow \psi'_{L,R} = U_{L,R} \psi_{L,R}, \quad (1)$$

where  $\psi_{L,R} = \begin{pmatrix} u \\ d \end{pmatrix}_{L,R}$  and  $U_{L,R} = \exp(-i\vec{\theta}_{L,R} \cdot \frac{\vec{\tau}}{2})$ . However, this chiral symmetry does not appear in the low energy particle spectrum, and the strong interaction theory exhibits the phenomenon of spontaneous symmetry breaking. Consequently, three Goldstone bosons appear, and the constituent quarks become massive at low energy. In describing the symmetries of the Lagrangian, it is useful to introduce three pion mesons  $\vec{\pi}$  and a  $\sigma$  meson in terms of a matrix field as

$$\Phi = \sigma \frac{\tau^0}{2} + i\vec{\pi} \cdot \frac{\vec{\tau}}{2}, \quad (2)$$

where  $\tau^0$  is the unity matrix and  $\vec{\tau}$  are the three Pauli matrices. Under the  $SU(2)_L \times SU(2)_R$  chiral symmetry transformations,  $\Phi$  transforms as

$$\Phi \rightarrow \Phi' = U_L \Phi U_R^\dagger. \quad (3)$$

Then, the renormalizable effective Lagrangian of the two-flavors quark meson model is defined as [9, 50]

$$\mathcal{L} = \mathcal{L}_\Phi + \mathcal{L}_q, \quad (4)$$

where

$$\mathcal{L}_\Phi = \text{Tr}[(\partial_\mu \Phi)^\dagger (\partial^\mu \Phi)] - \lambda \left[ \text{Tr}(\Phi^\dagger \Phi) - \frac{\vartheta^2}{2} \right]^2 - H \text{Tr}[\Phi], \quad (5)$$

and

$$\mathcal{L}_q = \bar{\psi}_L i \not{\partial} \psi_L + \bar{\psi}_R i \not{\partial} \psi_R - 2g \bar{\psi}_L \Phi \psi_R + \text{h.c.} \quad (6)$$

Here, we have introduced a flavor-blind Yukawa coupling  $g$  of the left-handed and right-handed quark fields to interact with the  $\Phi$  field.

The parameters of the Lagrangian  $\mathcal{L}$  are chosen under the requirement that the chiral symmetry  $SU(2)_L \times SU(2)_R$  is spontaneously broken down to  $SU(2)_{L+R}$  in the vacuum, while the  $\sigma$  field takes on a non-vanishing vacuum expectation value  $\langle \sigma \rangle = f_\pi = 93$  MeV. This results in a massive  $\sigma$  meson and three massless Goldstone bosons  $\vec{\pi}$  mesons in the chiral limit, as well as giving an effective mass  $m_q = g f_\pi$  to the constituent quarks. Furthermore, the chiral symmetry is explicitly broken by adding the last term in Eq. (5) due to the finite current quark masses. With this additional term, the vector isospin  $SU(2)$  symmetry remains exact but the axial  $SU(2)$  transformation is no longer invariant. Accordingly, the constant  $H$  is to be fixed by the partially conserved axial vector current relation, which gives  $H = f_\pi m_\pi^2$ , where the pion mass is taken as  $m_\pi = 138$  MeV. Moreover, the dimensionless coupling constant  $g$  in the model is determined by the constituent quark mass in vacuum, which is about 1/3 of the nucleon mass and gives  $g \approx 3.3$ . Another dimensionless coupling constant  $\lambda$  is usually fixed by the sigma mass  $m_\sigma^2 = m_\pi^2 + 2\lambda f_\pi^2$ . Here, we set it to 500 MeV according to the most recent compilation of the Particle Data Group [51]. Finally, the quantity  $\vartheta$  is actually not a free parameter and can be formally expressed as  $\vartheta^2 = f_\pi^2 - m_\pi^2/\lambda$ .

### III. EFFECTIVE POTENTIAL AND PHASE STRUCTURE

A convenient framework for studying phase transitions and the restoration of the chiral symmetry at extremely high energy is the thermal field theory [18, 52]. Within this framework, the effective potential is one of the important and powerful theoretical tools, and the standard approach for dealing with the thermodynamics of various observables of interest relies on the grand canonical ensemble. To make things lucid, we start with a spatially uniform system in thermodynamical equilibrium at temperature  $T$  and quark chemical potential  $\mu$ . From here and henceforward, we will use the chemical potential to represent the quark chemical potential. In general, the grand partition function is commonly given by

$$\mathcal{Z} = \int \prod_a \mathcal{D}\sigma \mathcal{D}\pi_a \int \mathcal{D}\psi \mathcal{D}\bar{\psi} \exp \left[ \int_x (\mathcal{L} + \mu \bar{\psi} \gamma^0 \psi) \right], \quad (7)$$

where  $\int_x \equiv \int_0^\beta d\tau \int d^3x$ , the inverse temperature  $\beta = 1/T$ , and  $\mu = \mu_B/3$  for the homogeneous background field.

In the mean-field approximation, the meson fields in the Lagrangian are replaced by their expectation values, whereas the quark and antiquark fields are still retained as quantum fields. This implies that the one-loop correction to the effective potential from the quark fields is considered but treats the mesonic degrees of freedom at the tree level. Following this scheme, the integration over the fermions yields a determinant that can be calculated using standard procedures [27, 53], generating an effective potential for the mesons. Finally, the effective potential of the model can be obtained exactly in a closed form as

$$\Omega(T, \mu) = \frac{-T \ln \mathcal{Z}}{V} = U(\sigma, \vec{\pi}) + \Omega_{\bar{\psi}\psi}, \quad (8)$$

where the classical potential for the  $\sigma$  and  $\vec{\pi}$  is rewritten as

$$U(\sigma, \vec{\pi}) = \frac{\lambda}{4} (\sigma^2 + \vec{\pi}^2 - \vartheta^2)^2 - H\sigma, \quad (9)$$

and the contribution of quarks and antiquarks are given by

$$\begin{aligned} \Omega_{\bar{\psi}\psi} &= \Omega_{\bar{\psi}\psi}^v + \Omega_{\bar{\psi}\psi}^{th} = -\nu \int \frac{d^3\vec{p}}{(2\pi)^3} E \\ &\quad - \nu T \int \frac{d^3\vec{p}}{(2\pi)^3} \left\{ \ln [1 + e^{-(E-\mu)/T}] + \ln [1 + e^{-(E+\mu)/T}] \right\}. \end{aligned} \quad (10)$$

Here,  $\nu = 2N_f N_c = 12$  and  $E = \sqrt{\vec{p}^2 + m_q^2}$  is the valence

quark and antiquark energy for  $u$  and  $d$  quarks, and the minus sign is the consequence of the Fermi-Dirac statistics. The constituent quark (antiquark) mass is set to  $m_q = g\sigma$ .

The first term of Eq. (10) denotes the fermions vacuum one-loop contribution, which is ultraviolet divergent and can only be evaluated in the presence of a regulator. The divergence in Eq. (10) can then be appropriately renormalized using the dimensional regularization scheme [47, 48, 54]. After considering the vacuum fluctuations and renormalization issues, the renormalized fermion vacuum one-loop contribution reads

$$\Omega_{\bar{\psi}\psi}^v = \Omega_{\bar{\psi}\psi}^{\text{reg}} = -\frac{N_c N_f}{8\pi^2} m_q^4 \ln \left( \frac{m_q}{\Lambda} \right), \quad (11)$$

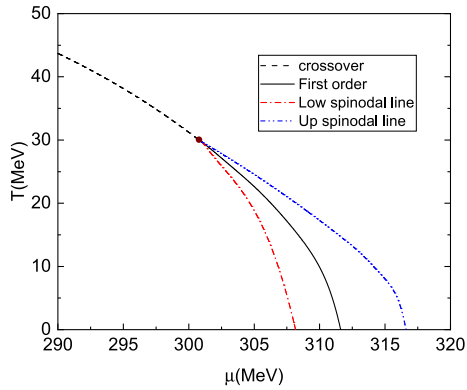
where  $\Lambda$  denotes the arbitrary renormalization scale. Notably, dimensional regularization introduces an arbitrary renormalization scale parameter. Nevertheless, at least in the one-loop approximation, the thermodynamic potential and all physical observables are independent of the choice of  $\Lambda$ , and the scale dependence can be neatly canceled out after the rearrangement of parameters in the model [40, 47, 48, 55].

Equipped with the above effective potential, we can explore the phase diagram of the model at finite temperature and density by minimizing the thermodynamical potential in equation (8) with respect to the order parameter  $\sigma$ . Then, an equation of motion is given by

$$\frac{\partial \Omega(T, \mu)}{\partial \sigma} = 0. \quad (12)$$

The solution of the equation of motion determines the behavior of the chiral order parameters  $\sigma$  as a function of  $T$  and  $\mu$ , as well as the phase diagram of the model. As we know, the thermodynamic state of equilibrium is determined by the values of the order parameter at the global minimum of the effective potential. Once the order parameter for each given  $T$  and  $\mu$  is obtained, any thermodynamical quantity of equilibrium, such as the pressure, the entropy density, the energy density, the speed of sound, *et al.*, can be described and calculated.

In Fig. 1, we have presented the phase diagram in calculation with the fermion vacuum fluctuation for the two-flavor quark meson model. The temperature behavior of the chiral condensate  $\sigma$  shows that the system experiences a smooth crossover transition at low chemical potential, while there is a first-order phase transition for larger chemical potential because the chiral order parameter makes a jump across the gap of the condensate near the critical temperature  $T_c$ . Normally, the temperature derivative of the chiral condensate  $\sigma$  for quarks has a peak at some specific temperature, which is established as the critical temperature for the chiral phase transition. Be-



**Fig. 1.** (color online) The phase diagram in the  $T-\mu$  plane for the two-flavor quark meson model. The dashed lines are the critical line for conventional chiral phase transition in the crossover region. The solid line indicates the first-order phase transitions, and the solid circle indicates the critical end points for chiral phase transitions of  $u$  and  $d$  quarks. The dashed-dotted line and the dashed-dotted-dotted line are the lower and upper spinodal lines.

cause the temperature derivative of the chiral condensate has simply one peak, we can not tell when and where the crossover phase transition would convert to a first-order one at the critical endpoint (CEP) with a second order phase transition [46, 50]. In order to locate the CEP in the phase diagram, the quark number susceptibility  $\chi_q = \partial^2 \Omega(T, \mu) / \partial^2 \mu$  is to be introduced, and it is believed to be divergent at the CEP [4, 5].

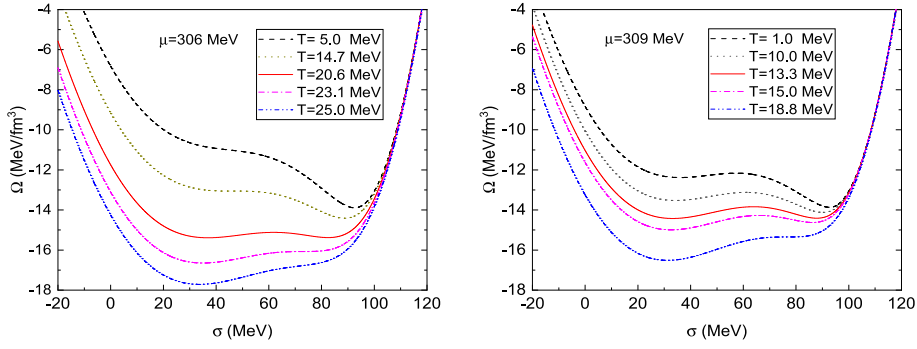
Aside from calculation of the quark number susceptibility  $\chi_q$ , in the present work, we prefer to use the shapes of the effective potential at various temperatures and chemical potentials to decide the position of the CEP. In the case of the first-order phase transition, along the critical line with the temperature  $T \approx T_c$ , the thermodynamical potential  $\Omega(T, \mu)$  has two minima of equal depth separated by a potential barrier. With the reduction of the chemical potential, the height of the barrier decreases and finally disappears at the CEP, where the phase transition is of the second order. In our calculation, the corresponding CEP is located at  $(T_E, \mu_E) \approx (30, 30)$  MeV in Fig. 1. Notably, the location of the CEP from the theory calculations is scattered over the region of  $\mu_B = 200 - 1100$  MeV and  $T = 40 - 180$  MeV [4, 5]. QCD-based model calculations like the NJL model [56, 57], QM model [58, 59], PNJ [10], and PQM [12–14] produce a relative larger critical chemical potential around  $\mu = \mu_B/3 = 300$  MeV. However, the functional renormalization group (FRG) approach and Dyson-Schwinger equations predict a rather narrow region for the critical chemical potential around  $\mu = 200 - 220$  MeV [60–63]. However, the accuracy of predictions for CEP from the first principle lattice-QCD calculations worsens toward a very large chemical potential; various model calculations vary wildly in their predictions. Therefore, an experimental search of the critical

point is crucial and important to establish its position in the phase diagram.

As shown in Fig. 2, in the region of the first-order phase transition, a typical effective potential commonly displays a local minimum at a low sigma  $\sigma_l$ , which is separated by a potential barrier from another local minimum at a relative larger sigma  $\sigma_h$ . When a critical temperature  $T_c$  is reached, these two minima degenerate. For  $T < T_c$ , the minimum of the effective potential at  $\sigma = \sigma_h$  is the absolute or global minimum, which is regarded as the stable (true) vacuum, whereas the minimum at  $\sigma = \sigma_l$  is treated as the metastable (false) vacuum. In this case, the chiral symmetry is broken so that the constituent quarks become massive. On the contrary, when the temperature  $T$  goes across above the critical value  $T_c$ , these two vacua flip over, the global minimum is at  $\sigma = \sigma_l$ , and the local minimum is at  $\sigma = \sigma_h$ . Since the chiral symmetry is approximately restored and the quarks become almost massless, the system for  $T > T_c$  is then considered as the quark phase. The previous case for  $T < T_c$  is taken as the hadron phase, therefore the critical lines divide the whole phase diagram into two categories: the hadron and quark phases.

Normally, apart from the critical temperature  $T_c$ , there are two other temperatures of interests in a first-order phase transitions. These two temperatures  $T_{c1}$  and  $T_{c2}$  are named the lower and upper spinodal critical points, respectively. A typical example is shown in Fig. 2, where the evolutions of the potential for several temperatures when a chemical potential fixed at  $\mu = 306$  MeV and  $\mu = 309$  MeV are exhibited. For the left panel in Fig. 2 at  $\mu = 306$  MeV, when the temperature is around  $T_c \approx 20.6$  MeV, the shape of the potential exhibits two degenerate minima. However, as the temperature increases, the second minimum of the potential at  $\sigma = \sigma_h$  disappears at a higher temperature  $T_{c2} \approx 23.1$  MeV. Meanwhile, when the temperature falls below the critical temperature  $T_c$ , the first minimum of the potential at  $\sigma = \sigma_l$  tends to wipe out around  $T_{c1} \approx 14.7$  MeV. Between these two specific temperatures, metastable states or a false vacuum exists, and the system can exhibit supercooling or superheating.

For  $\mu = 309$  MeV, one can also observe the characteristic pattern of a first order phase transition: two minima corresponding to phases of restored and broken chiral symmetry are separated by a potential barrier and they become degenerate when the temperature is at  $T_c \approx 13.3$  MeV. Chiral symmetry is approximately restored for  $T > T_c$ , where the minimum at false vacuum  $\sigma = \sigma_l$  becomes the absolute minimum, as shown in the right panel in Fig. 2. Similar to the previous case for  $\mu = 306$  MeV, when the temperature  $T$  goes up the critical line and rises further, the potential barrier between two minima decreases gradually and shrinks to zero at the moment when the second minimum of the potential at  $\sigma = \sigma_h$  vanishes at a spinodal temperature  $T_{c2} \approx 18.8$  MeV. On the other



**Fig. 2.** (color online) (a) The grand canonical potentials  $\Omega$  as a function of the chiral order parameter  $\sigma$  for  $\mu = 306$  MeV at various temperatures. (b) The grand canonical potentials  $\Omega$  as a function of the chiral order parameter  $\sigma$  for  $\mu = 309$  MeV at various temperatures.

hand, when  $T < T_c$ , the shapes of the effective potential at various low temperatures display quite different behaviors in comparison with those of the previous case at  $\mu = 306$  MeV. The barrier between two minima of the effective potential is to maintain even when the temperature  $T$  is very close to zero. This means that the first minimum of the effective potential at  $\sigma = \sigma_l$  could always exist in the hadron phase. Therefore, the phase transition could be identified as a strongly first-order phase transition, which is usually induced by an effective potential with a nonvanishing zero-temperature potential barrier.

To provide a complete description of a first-order phase transition, two particular lines of the spinodal points that constrain the regions of spinodal instability for the first-order phase transition at high density are illustrated in Fig. 1. Similar to the critical line in Fig. 1, both the lower and upper spinodal lines increase as the chemical potential  $\mu$  reduces. However, the gap between these two spinodal lines becomes increasingly smaller. In the end, the two spinodal lines and the critical line terminate at the same point in the CEP. Moreover, since the lower spinodal line will end up at some point  $\mu_c \approx 308$  MeV on the vertical axis of the chemical potential, the area of the first-order phase transition can be technically split into a weakly first-order phase transition and a strongly first-order phase transition according to the above discussion.

Therefore, for a weak first-order chiral phase transition where the chemical potential is  $\mu < \mu_c$  at  $T < T_c$ , the thermodynamic potential exhibits a local minimum aside from the global minimum, when the temperature decreases from  $T_c$  to a specific value  $T_{c1}$ . The local minimum gradually disappears at the point of inflection known as spinodal instability. Whereas, for  $\mu > \mu_c$ , the chiral phase transition is to be considered as a strongly first-order one due to the fact that the local minimum remains for the temperature at  $T < T_c$  and there is no spinodal temperature. The critical chemical potential for a transition from a weak first-order phase transition to that of a strong one is then identified as the critical chemical potential at  $\mu_c \approx 308$  MeV in hadron phase [37, 64].

#### IV. HOMOGENEOUS THERMAL NUCLEATION

The mechanism of the nucleation theory can be used to study the probability that a bubble or droplet of the stable vacuum in a system initially trapped in the metastable vacuum near the critical temperature  $T_c$ . For a pure system, the formation of bubbles originates from intrinsic thermodynamic fluctuations; this kind of nucleation mechanism is commonly called homogeneous nucleation. On the contrary, when impurities cause the formation of bubbles or droplets, such a mechanism of the nucleation theory is known as heterogeneous nucleation. In the everyday world, the external agents would play the role of nucleating centers, such as dust or ions in the atmosphere, leading to a much more efficient increase in the nucleation rate. Nevertheless, for the physical interests related to our study, homogeneous nucleation theory is appropriate, and we will use this basic theoretical apparatus to describe the decay of the metastable vacuum of a system interacting with a heat bath at temperature  $T$ .

Based on the framework of the homogeneous thermal nucleation, we make an assumption in the limit that thermal fluctuations dominate quantum fluctuations, and the quantum-induced tunneling is simply ignored. Then, the nucleation rate per unit time per unit volume is given in the form of

$$\Gamma = \mathcal{P} \exp \left[ -\frac{S_3}{T} \right], \quad (13)$$

where  $T$  is the temperature of the system in equilibrium with the thermal bath,  $S_3$  is the three-dimensional action associated with the  $O(3)$ -symmetric critical bubble or droplet and  $\mathcal{P}$  is the exponential prefactor. For the mechanism of the bubble nucleation to the leading order, the nucleation rate is controlled by the exponent of the three-dimensional action evaluated on the critical bubble. The sub-leading corrections to the leading-order bubble action are included in the prefactor  $\mathcal{P}$ , which can be technically expressed as

$$\mathcal{P} = \frac{\omega_-}{2\pi} \left( \frac{S_3}{2\pi T} \right)^{3/2} \left[ \frac{\text{Det}(-\nabla^2 + \Omega''_{FV})}{\text{Det}'(-\nabla^2 + \Omega''_b)} \right]^{1/2}. \quad (14)$$

Here,  $\omega_-$  is the eigenvalue of the negative mode, the terms  $\Omega''_{FV}$  and  $\Omega''_b$  are abbreviations for  $\Omega''$  evaluated in the false vacuum and the critical bubble, the prime in the determinant signifies that the zero eigenvalues associated with the translation symmetry of the bubble are omitted.  $\Omega''$  is the second derivative of the effective potential  $\Omega(T, \mu)$  with respect to the order parameter  $\sigma$  which is actually represented a field in describing the extremum of the three-dimensional Euclidean action, more specifically, the critical bubble or the bounce. Usually, the calculation and evaluation of this prefactor is a nontrivial matter, a rough estimate of their ratios can be obtained by dimensional analysis and it can be approximately expressed as  $T^4$  or  $T_c^4$  for simplicity [26, 41].

The result represented in the above equation (13) is a semi-classical contribution based on a saddle point approximation around the bounce solution. By taking the scalar field  $\sigma$  as the order parameter, at finite-temperature field theory, an Euclidean action we are interested in is

$$S_E(\sigma) = \int_0^\beta d\tau \int d^3r \left[ \frac{1}{2} \left( \frac{\partial \sigma}{\partial \tau} \right)^2 + \frac{1}{2} (\nabla \sigma)^2 + \Omega(\sigma; T, \mu) \right], \quad (15)$$

in which the subscript  $E$  denotes Euclidean and the integral is over Euclidean space. For the sake of convenience, in the following discussions, we will keep the  $\sigma$  field in the effective potential  $\Omega$  in Eq. (8) explicitly. As argued by Linde [35], for sufficiently high temperatures at large length scales compared to  $\beta$ , the relevant number of dimensions is  $d = 3$ , and the Euclidean action becomes

$$S_E(\sigma) \equiv \frac{S_3}{T}, \quad (16)$$

where  $S_3$  is the three-dimensional saddle-point action associated with the formation of a critical-sized bubble or droplet; in what follows, it is to be called as the saddle-point action for abbreviation. Therefore, the bounce is an  $O(3)$  symmetric solution to the classical equation of motion that extremizes the Euclidean action  $S_3$ . In particular, for a scalar field  $\sigma$ , the bounce satisfies a nonlinear ordinary differential equation,

$$\frac{d^2 \sigma(r)}{dr^2} + \frac{2}{r} \frac{d\sigma(r)}{dr} = \frac{\partial \Omega(\sigma; T, \mu)}{\partial \sigma}, \quad (17)$$

with boundary conditions  $\lim_{r \rightarrow \infty} \sigma(r) = \sigma_{FV}$  and  $\left. \frac{d\sigma(r)}{dr} \right|_{r=0} = 0$ . The first boundary condition is, because the bubbles

are embedded in the homogeneous false vacuum outside the bubble, the  $\sigma$  field should arrive at its false vacuum at  $\sigma \simeq \sigma_{FV}$ . The second one is set according to the requirement of finite energy at the origin. The solution for this equation of motion with the above proper boundary conditions is a saddle point solution or a bounce  $\sigma_b$ . It is an  $O(3)$  non-trivial field configuration that starts in the false vacuum and reaches the other side of the potential barrier with zero velocity. In this work, we will use the Any-Bubble package [65] to determine the bounce.

Once the solution  $\sigma_b$  is obtained, the  $S_3$  exponent in Eq. (13) can be evaluated on the bounce solution  $\sigma_b$  as

$$S_3 = \int d^3r \left[ \frac{1}{2} (\nabla \sigma)^2 + \Omega(\sigma; T, \mu) \right], \quad (18)$$

and the surface tension of the nucleation bubble interface between the false vacuum and the true vacuum is defined accordingly as [35, 66]

$$\Sigma = \int dr \left[ \frac{1}{2} \left( \frac{d\sigma}{dr} \right)^2 + \Omega(\sigma; T, \mu) \right]. \quad (19)$$

Notably, in practical calculations, if the false vacuum has a non-zero potential energy, an additional term  $-\Omega(\sigma_{FV}; T, \mu)$  should be included in the  $S_3$  action and the surface tension  $\Sigma$ .

For a generic effective potential, the equation of motion of the bounce with boundary conditions usually cannot be obtained analytically. We should rely on numerical methods to perform the computation. However, when the system is very close to the critical coexistence line, the bubble radius  $R$  is much larger than the wall thickness ( $\Delta R \sim m_\sigma^{-1}$ ). Hence, when the damping force  $2\sigma'/r$  in the field equation becomes negligible, the thin-wall approximation is applicable and the equation of motion (17) reduces to the field equation for a typical one-dimensional soliton

$$\frac{d^2 \sigma(r)}{dr^2} = \frac{d\Omega}{d\sigma}. \quad (20)$$

This static field equation implies that

$$\frac{d\sigma(r)}{dr} = \pm \sqrt{2\Omega}. \quad (21)$$

Integrating Eq. (21) yields

$$r = \int_\sigma^{\sigma_{FV}} \frac{d\sigma}{\sqrt{2\Omega}}. \quad (22)$$

Therefore, an approximate solution for the bounce can be obtained for arbitrary potential with two or more degener-

ate minima. Moreover, within the thin-wall approximation, the surface tension of the bubble can be calculated as

$$\Sigma_{\text{tw}} = \int_0^\infty dr \left[ \frac{1}{2} \left( \frac{d\sigma}{dr} \right)^2 + \Omega \right] = \int_{\sigma_T}^{\sigma_{FV}} d\sigma \sqrt{2\Omega}, \quad (23)$$

and the saddle-point action  $S_3$  is given by

$$S_3 = \frac{16\pi \Sigma_{\text{tw}}^3}{3 \varepsilon}. \quad (24)$$

The quantity  $\varepsilon = \Omega(\sigma_{FV}; T, \mu) - \Omega(\sigma_T; T, \mu)$  is the difference between the values of the effective potential at the false vacuum and true vacuum.

## V. RESULTS AND DISCUSSION

In this section, we will numerically solve the equation of motion in Eq.(17) with some boundary conditions,  $\sigma \rightarrow \sigma_{FV}$  as  $r \rightarrow \infty$  and  $\sigma'(0) = 0$ . Since a first-order phase transition necessitates a discontinuity in the scalar field  $\sigma$ , the transition does not exactly take place at the critical temperature  $T_c$  along the critical coexistence line in the phase diagram in Fig. 1. Consequently, the false vacuum  $\sigma_{FV}$  should be addressed properly before we obtain the exact numerical solutions of the equation of motion.

In order to get an intuitional description of the false vacuum decay at finite temperature and density, the dynamics of a first-order phase transition at metastability should be classified into two categories: top-down and bottom-up. More specifically, by "top-down," we mean that we will study the false vacuum decay at finite temperature and density for the temperature  $T < T_c$ . The initial false vacuum of the system is well prepared as the quark phase at  $\sigma = \sigma_l$  for  $T \approx T_c$  when the system is cooling down from a very high temperature. On the other side, by "bottom-up," we mean that the original false vacuum for the temperature  $T \approx T_c$  is defined as the hadron phase at  $\sigma = \sigma_h$ . We study the decay of the hadron phase for the temperature  $T > T_c$  in the event that the system is heating up from the low energy state.

### A. Top-down

As the system cools down from a very high temperature. When  $T \approx T_c$ , the initial false vacuum can be causally set as the quark phase, since the first-order transition does not happen exactly at  $T_c$ , but upon lowering the temperature. The appearance of a bubble of the hadron phase (the stable state) inside the quark phase (the metastable state) is a natural consequence of the thermal fluctuations of the thermodynamical system sufficiently close to the coexistence line in the phase diagram. To study the dy-

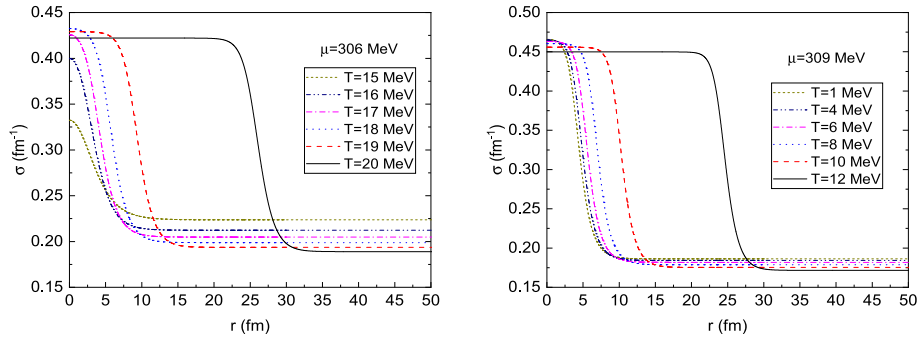
namics of a first-order phase transition in this "top-down" scenario as  $T < T_c$ , we numerically solve the equation of motion in Eq. (17) with the specific boundary conditions as  $\sigma \rightarrow \sigma_l$ ,  $r \rightarrow \infty$ , and  $d\sigma(0)/dr = 0$ . Here, the false vacuum  $\sigma_l$  is temperature-dependent, as the local minimum of the effective potential varies with increasing of the temperature when fixing the chemical potential.

For  $\mu = 306$  MeV, the exact numerical solutions by taking the temperatures as  $T = 15, 16, 17, 18, 19$ , and  $20$  MeV are plotted in the left panel of Fig. 3. It is shown that as the temperature decreases from  $T_c \approx 20.6$  MeV, all curves approach their false vacuum  $\sigma_l$  when the radius  $r$  is large, whereas  $\sigma(r)$  at the center of the bubble deviates significantly from its stable vacuum value at  $\sigma = \sigma_h$ . When the temperature is sufficiently close to the critical temperature, the  $\sigma$  field at the center of the bubble only slightly deviates from its stable vacuum value at  $\sigma = \sigma_h$ . However, as soon as  $T < T_c$  MeV, the  $\sigma(0)$  field is visibly different from its stable vacuum value. Such a deviation can be qualitatively explained via an "overshoot-undershoot" argument due to Coleman [30]. According to this argument, the equation of motion (17) is reinterpreted as the equation of a particle moving in an "upside-down" potential energy  $\Omega$ ; the second term in the field equation is taken as the damping force. When the system is very close to the critical coexistence line, the bubble radius  $R$  is much larger. Therefore, the damping force can be neglected, and the field  $\sigma$  begins to roll down at the top of the effective potential  $\Omega$  around  $\sigma \approx \sigma_h$  to rest at its false vacuum  $\sigma_l$ . However, when the temperature declines, the radius of the bubble decreases accordingly. Consequently, the damping force in the field equation becomes important, and the field  $\sigma(0)$  deviates from its true vacuum value more and more dramatically. In other words, the thin-wall approximation mentioned above is expected to be invalid, and any further extension of the thin-wall approximation to the temperature deviations from  $T_c$  should be checked very carefully.

A similar discussion can be applied to the second case when the chemical potential is fixed at  $\mu = 309$  MeV. The critical bubble profiles at different temperatures are illustrated in the right panel of Fig. 3, where the temperatures are taken as  $T = 1, 4, 6, 8, 10$ , and  $12$  MeV from left to right. The evolution of  $\sigma(r)$  for different temperatures indicates that the typical radius of the critical bubble should increase as the temperature increases, and  $\sigma(r)$  approaches its false vacuum value at  $\sigma = \sigma_l$  as  $r \rightarrow \infty$ . Also, from this figure, as long as the temperature is lower than the critical temperature  $T_c$ ,  $\sigma(0)$  will deviate significantly from its stable vacuum value at  $\sigma = \sigma_h$ . This non-trivial behavior of the  $\sigma(r)$  in the center of the bubble can also be interpreted as a limit to the applicability of the thin-wall approximation.

Once the bubble profiles have been solved from the definition in Eq. (19), the surface tension of the nucle-





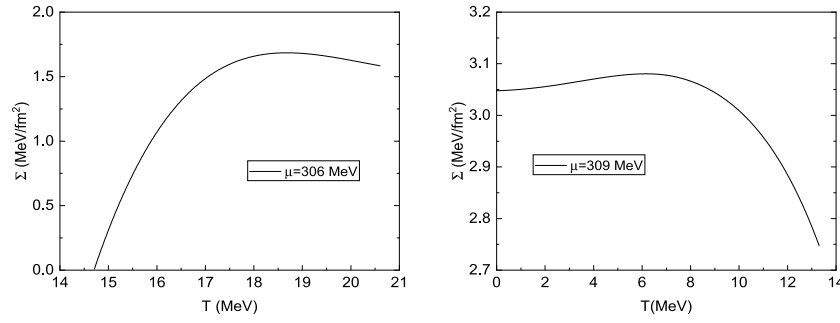
**Fig. 3.** (color online) (a) Critical bubble profiles for different temperatures when fixing the chemical potential at  $\mu = 306$  MeV for  $T < T_c$ . From left to right, the curves correspond to  $T = 15, 16, 17, 18, 19,$  and  $20$  MeV. (b) Critical bubble profiles for different temperatures when fixing the chemical potential at  $\mu = 309$  MeV for  $T < T_c$ . From left to right, the curves correspond to  $T = 1, 4, 6, 8, 10,$  and  $12$  MeV.

ation bubble interface between the false vacuum and the stable vacuum as a function of the temperature can be obtained, and the results are shown in Fig. 4 when the chemical potentials are taken as  $\mu = 306$  MeV and  $\mu = 309$  MeV. For both cases, as the temperature increases, the surface tension  $\Sigma(T)$  begins to grow first and reaches a maximum at a certain temperature. It then inflects and slopes downwards. These nontrivial behaviors of  $\Sigma(T)$  were also reported in a weak first-order phase transition [64] and a strong first-order phase transition [37] using the exact numerical method. However, these nontrivial properties seemed to be completely destroyed by the thin-wall approximation. As shown in the left panel of Fig. 2, for a weak first-order phase transition, a spinodal temperature  $T_{c1}$  exists, where a small barrier between the two minima in the potential disappears. Consequently, there is no bubble solution anymore when  $T < T_{c1}$  and the surface tension decreases rapidly to zero when  $T \rightarrow T_{c1}$ . In contrast, for a strong first-order phase transition, according to a standard criterion to guarantee the existence of the stable bounce or soliton, it is indispensable for the potential of the order parameter  $\sigma$  field to exhibit three distinct extrema. Hence, we can have a nontrivial solution to the equation of motion (17) for any temperature when  $T < T_c$ . The surface tension will then decline to a nonzero value as the temperature decreases, as depicted on the right panel of Fig. 4. This is the main difference between a strong first-order phase transition and a weak one, and this is why we have separated the area of the first-order phase transition in the phase diagram into two categories: a strong one and a weak one in the previous discussion.

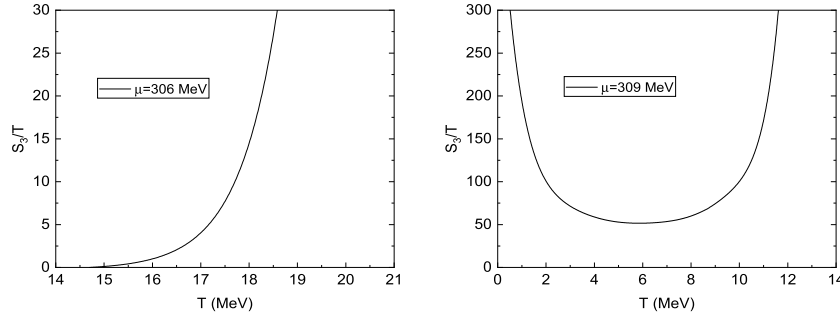
We are now to determine the  $S_3/T$  exponent in Eq. (13), which is the saddle-point action evaluated on the bounce solution. Because the decay rate per unit volume is what we are interested in, the argument of the exponential  $S_3/T$  is more important in comparison with the prefactor  $\Gamma$  if the  $S_3/T$  is larger than unity 1. As shown in the following discussions, for most studies considered in

the present work, an estimate for the prefactor based on dimensional analysis is sufficient. To show the saddle-point action due to the appearance of the critical bubble and its crucial role played in the nucleation rate for the first-order phase transition, the  $S_3/T$  exponent as a function of the temperature  $T$  at different chemical potentials is plotted in Fig. 5. As shown in the left panel in Fig. 5, for a weak first-order phase transition at  $\mu = 306$  MeV,  $S_3/T$  will start from zero when the temperature is at the spinodal temperature  $T_{c1}$  because the barrier disappears and there is only a trivial solution for the field equation of the  $\sigma$  field. It then climbs very quickly as the temperature increases and tend to diverge near the critical temperature  $T_c$ . Based on the exponential form of equation (13),  $\Gamma$  will be strongly suppressed by the saddle-point action and the system is likely to stay in the metastable vacuum for a relatively long time as long as  $S_3/T > 1$ . Therefore, for a weak first-order phase transition, the system can be trapped in the quark phase even when the temperature is below the critical temperature  $T_c$ , until the temperature is such that  $S_3/T \simeq 1$ . After that, the exponential factor is unimportant and the probability of a false vacuum decay through the barrier is essentially enhanced by the thermodynamical fluctuation. From the left panel in Fig. 5, for  $\mu = 306$  MeV, the remarkable temperature is about  $T \simeq 16$  MeV. When  $S_3/T \simeq 1$ , it is very close to the spinodal critical temperature  $T_{c1} \simeq 14.7$  MeV. This indicates that the quark phase could survive safely up to the temperature near the low spinodal temperature  $T_{c1}$  for a weak first-order phase transition.

When fixing the chemical potential at 309 MeV, the resulting plots of the  $S_3/T$  exponent as a function of the temperature  $T$  are shown in the right panel in Fig. 5. In this case, the  $S_3/T$  first decreases as the temperature increases, then it reaches a minimum point and restarts to grow very quickly. As the temperature nears the critical temperature  $T_c$ , it becomes divergent. In comparison with the former case of a weak first-order phase transition, for a strong one, the saddle-point action as a function of tem-



**Fig. 4.** (a) Surface tension as a function of temperature  $T$  for  $T \leq T_c$  at  $\mu = 306$  MeV. (b) Surface tension as a function of temperature  $T$  for  $T \leq T_c$  at  $\mu = 309$  MeV.



**Fig. 5.** (a) The saddle-point action as a function of temperature  $T$  for  $T \leq T_c$  at  $\mu = 306$  MeV. (b) The saddle-point action as a function of temperature  $T$  for  $T \leq T_c$  at  $\mu = 309$  MeV.

perature  $T$  usually exhibits a non-monotonic behavior as the temperature increases. This can be taken as one of the salient properties of the strong first-order phase transition [37]. Besides the non-trivial property of the saddle-point action, there is another obvious difference from that of the first-order phase transitions. For  $T < T_c$ , the  $S_3/T$  is always larger than the unity 1 regardless of the temperature taken. Such that, for a strong first-order phase transition in the present model, it seems the conversion of the quark phase to the hadron phase is exponentially suppressed for  $T \leq T_c$  and  $\mu \geq \mu_c$  with  $\mu_c \approx 308$  MeV, and the system is likely to stay in the quark phase rather than the hadron phase. This could, in turn, induce various exotic structures of the phase of the strong-interaction matter in high density and low temperature due to the presence of the free quarks.

## B. Bottom-up

By "bottom-up", we mean that the system is heating up from low energy to very high energy and the starting point is set as the hadron phase when  $T \approx T_c$ . As the temperature increases further, while  $T > T_c$ , the appearance of a bubble of the quark phase (the stable vacuum) inside the hadron phase (the false vacuum) is also treated as a natural consequence of the thermal fluctuations of the thermodynamical system sufficiently near the coexistence line in the phase diagram. Therefore in the "bottom-up" scenario when  $T > T_c$ , we should numerically solve the equation of motion in Eq. (17) with the specific

boundary conditions as  $\sigma \rightarrow \sigma_h$ ,  $r \rightarrow \infty$ , and  $d\sigma(0)/dr = 0$  because the false vacuum is located at the hadron phase and the quark phase is now in the stable state.

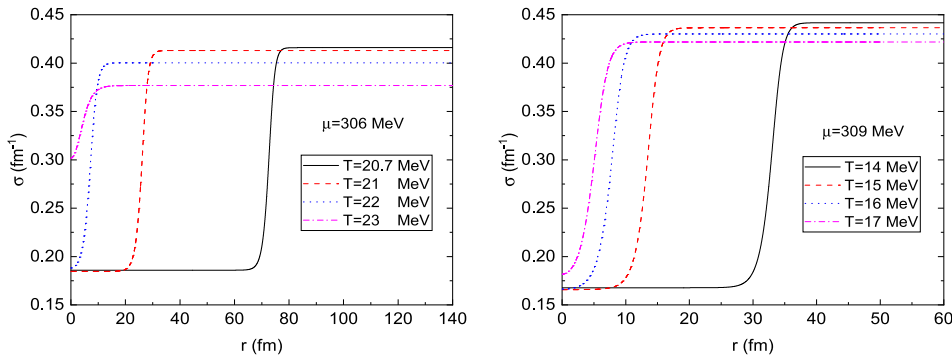
In what follows, we begin by showing the critical bubble profiles obtained from the exact numerical solution of Eq. (17) with the boundary conditions  $\sigma(\infty) = \sigma_h$  and  $\sigma'(0) = 0$  when fixing chemical potentials at  $\mu = 306$  MeV and  $\mu = 309$  MeV in Fig. 6. This is unlike the results presented in the "top-down" scenario when  $T < T_c$ , in which there are two different first-order phase transitions. However, for  $T > T_c$ , the results for both panels in Fig. 6 exhibit some similar features. For both cases, as the temperature increases from the critical temperature to the up spinodal line at  $T = T_{c2}$ , the typical size of the bubble, which can be approximately estimated using the maximal value of the quantity  $|\sigma'(r)|$ , decreases rapidly to zero because the barrier between the two minima in the potential disappears and there is no more stable bubble solution at  $T \geq T_{c2}$ . Furthermore, the structures of the critical bubbles also share similar properties. When the temperature is close to the critical temperature  $T_c$ , the critical bubble has an obvious "core" structure with  $\sigma \approx \sigma_l$  separated by a relatively thin wall from the region  $\sigma \approx \sigma_h$ . On the other side, when the temperature reaches another critical point at  $T \approx T_{c2}$ , the critical bubble usually becomes a "coreless" structure because the thickness of the critical bubble has the same order as the radius and the field at the original point  $\sigma(r=0)$  departs from its true vacuum  $\sigma_l$  largely. Finally, the curves in Fig. 6 can be explained

qualitatively according to the "overshoot-undershoot" argument given by Coleman. When the temperature is very close to the critical temperature  $T_c$ , the potential has two degenerate vacua, and the damping force is neglectfully small. The field at the escape point  $\sigma(r=0)$  starts at the top of the effective potential around  $\sigma \simeq \sigma_1$ . In contrast, as the temperature increases, the two degenerate vacua get decoupled, and the damping force takes effect, the field  $\sigma(r=0)$  will deviate from its vacuum value dramatically, especially as  $T \rightarrow T_{c2}$ . In other words, the thin-wall approximation is not expected to be valid. Any further extension of the thin-wall approximation to higher temperatures should be checked very carefully, particularly when the temperature is close to the up spinodal line.

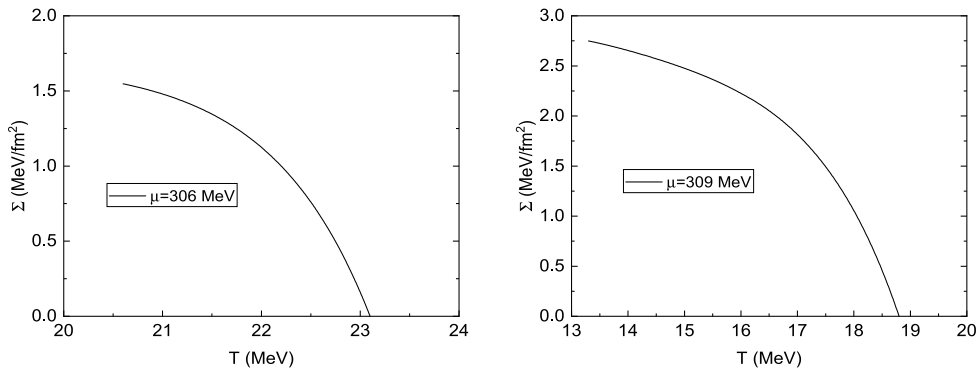
From the definition of the surface tension in Eq. (19), we plot the temperature dependence of the surface tensions for  $T \geq T_c$  when fixing the chemical potential at  $\mu = 306$  MeV and  $\mu = 309$  MeV in Fig. 7. For  $\mu = 306$  MeV, the values of the surface tension are between approximately 1.6 MeV and 0 MeV, while for a relatively larger chemical potential  $\mu = 309$  MeV, they are between  $\sim 2.8$  MeV to zero. Therefore, we can find that the largest values of the surface tension occur near the critical line since this domain is characterized by large barriers and a small energy difference between the true and false vacua.

Besides, the result in Fig. 7 also implies that the surface tension near the critical line at  $T \simeq T_c$  increases as chemical potential increases. Moreover, for both cases, the surface tensions will continuously decline to zero as long as the temperature approaches the up spinodal line at  $T \simeq T_{c2}$ . Therefore, in the bottom-up scenario,  $\Sigma(T)$  is a monotonically decreasing function of  $T$ , whereas in the top-down scenario it is a non-monotonic function and has a nontrivial behavior. Sometimes, the nontrivial evolution of  $\Sigma(T)$  suggests that the temperature dependent surface tension has a maximum value at a specific temperature, and it can be taken as a limit to the applicability of the thin-wall approximation [37, 64]. Thus, for the bottom-up scenario, we need to develop an alternative method to estimate the scope in which the thin-wall approximation is valid.

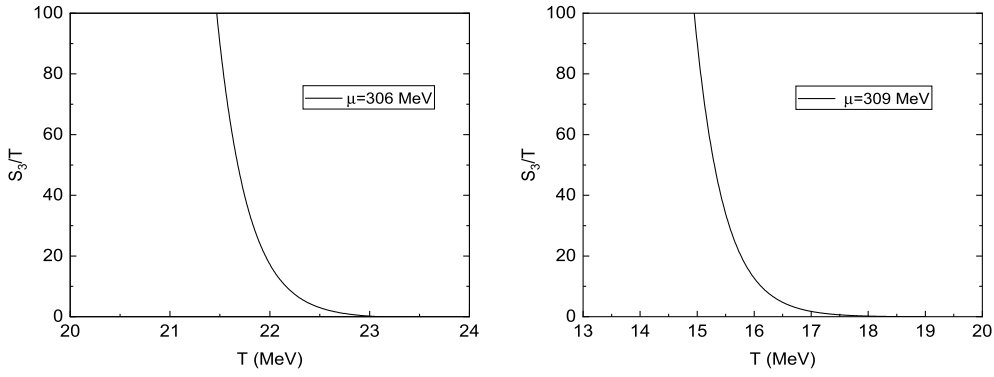
To study the dynamics of a first-order phase transition, the last important quantity to be evaluated is the saddle-point action  $S_3/T$  due to the activation of a nucleation bubble, which is an essential ingredient for the nucleation rate per unit time per unit volume in Eq. (13). In Fig. 8,  $S_3/T$  is plotted as a function of temperature  $T$  for  $T \geq T_c$  when fixing the chemical potentials at  $\mu = 306$  MeV and  $\mu = 309$  MeV. From this figure, as the temperature approaches the critical temperature  $T_c$ ,  $S_3/T$  in-



**Fig. 6.** (color online) (a) Critical bubble profiles for different temperatures when fixing the chemical potential at  $\mu = 306$  MeV for  $T > T_c$ . From right to left, the curves correspond to  $T = 20.7, 21, 22,$  and  $23$  MeV. (b) Critical bubble profiles for different temperatures when fixing the chemical potential at  $\mu = 309$  MeV for  $T > T_c$ . From right to left, the curves correspond to  $T = 14, 15, 16,$  and  $17$  MeV.



**Fig. 7.** (a) Surface tension as a function of temperature  $T$  for  $T \geq T_c$  at  $\mu = 306$  MeV. (b) Surface tension as a function of temperature  $T$  for  $T \geq T_c$  at  $\mu = 309$  MeV.



**Fig. 8.** (a) The saddle-point action as a function of temperature  $T$  for  $T \geq T_c$  at  $\mu = 306$  MeV. (b) The saddle-point action as a function of temperature  $T$  for  $T \geq T_c$  at  $\mu = 309$  MeV.

creases very quickly and diverges near the critical temperature  $T_c$ . In the opposite direction, when the temperature is close to the spinodal critical temperature  $T_{c2}$ ,  $S_3/T$  decreases rapidly to zero, during this procedure, what we are interested in is the moment when  $S_3/T$  is approximately 1, because if  $S_3/T > 1$ , the nucleation rate  $\Gamma$  will be strongly suppressed by the exponential factor and the system is likely to stay in the false vacuum for a very long time. From the left panel of Fig. 8, for  $\mu = 306$  MeV, when the temperature is about 22.8 MeV,  $S_3/T \approx 1$ , so that the system is likely to remain in the hadron phase until the temperature is less than 22.8 MeV. For  $\mu = 309$  MeV, this specific temperature is approximately 18.4 MeV when  $S_3/T \approx 1$ . This indicates that hadron phase could also maintain its existence as long as the temperature is below 18.4 MeV. Since these two specific temperatures are very close to their spinodal critical temperatures  $T_{c2}$ , we can obtain a rough estimate by simply taking the spinodal critical line in phase diagram as a phase boundary for the stable existence of the false vacuum in the first-order phase transition.

## VI. CONCLUSION

In this work, we have computed the effective potential for a two-flavor quark-meson model at finite temperature and density in the presence of a fermionic vacuum term. Having obtained the in-medium effective potential, the phase diagram and critical end point have been provided, and the up and low spinodal lines have been calculated explicitly for the first-order hadron quark phase transition. For the low spinodal line, the first-order phase transition can be further divided into strong and weak ones in the phase diagram when the temperature is below the critical coexistence line. The critical chemical potential is taken as  $\mu \approx 308$  MeV, as the low spinodal line terminates at this point. Therefore, when  $T < T_c$ , for  $\mu < 308$  MeV, it is a weak first-order phase transition, but for  $\mu > 308$  MeV, it belongs to a strong first-order phase transition.

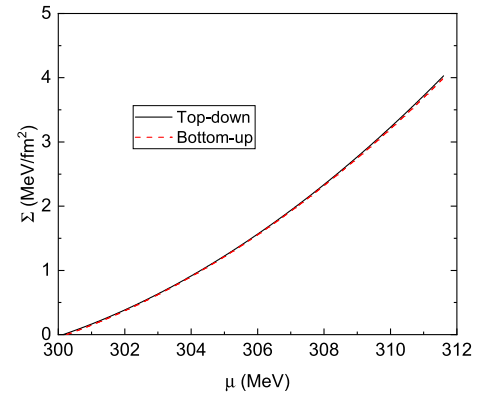
Given the temperature-dependent effective potential, the problem of homogeneous nucleation of the bubbles in a first-order phase transition can be investigated accordingly. For convenience, we have separated our discussions into two scenarios: the top-down case and the bottom-up case. By "top-down," we consider the quark phase as a metastable (false) vacuum and the hadron phase as a stable (true) vacuum when  $T < T_c$ . On the contrary, by "bottom-up," we mean that the false vacuum is the hadron phase whereas the true vacuum is the quark phase as  $T > T_c$ . Then, for the former case, the boundary condition at infinite radius is  $\sigma = \sigma_h$ , whereas it is  $\sigma = \sigma_l$  for the latter case. With these specific boundary conditions, a saddle point solution of the field equation has been solved and the exact bubble profiles were obtained. Usually, when the temperature is close to the critical temperature  $T_c$ , the bubble profile shows a "core" structure with the sigma field at true vacuum  $\sigma \approx \sigma_T$  separated by a relatively thin wall from the false vacuum at  $\sigma \approx \sigma_{FV}$ . However, when the temperature approaches the spinodal critical temperature, the bubble profile exhibits a coreless structure, as the thickness of the critical bubble has the order of the radius and the  $\sigma$  field inside the bubble significantly deviates from its true vacuum value.

The calculation for the surface tension between a quark phase and a hadron phase was also presented in these two different scenarios. In the "top-down" context, the surface tension first increases to a maximum value and then decreases as the temperature increases. The top of the surface tension could be taken as a limit on the reliability of the thin-wall approximation because the bubble profile at this point indicates the largest distortion of that of the thin-wall approximation. On the other side, for the "bottom-up" context, the surface tension demonstrates a monotonic property as the temperature increases; it declines continually from its top value at the critical temperature  $T_c$  to zero as  $T \rightarrow T_{c2}$ . As we know, surface tension plays an important role in the fields of nuclear physics and astrophysics and has attracted much attention recently. To provide a comprehensive consultation of the

relevant research, the surface tension along the critical coexistence line has been laid out in Fig. 9. We believe the remaining value of the surface tension in the domain of the first-order phase transition can be easily extracted and estimated using the method in this work. Consequently, the present model predicts a surface tension of  $\Sigma \sim 0-4 \text{ MeV/fm}^2$ . Our results are very similar to the ones recently found for the same model in the thin-wall approximation in Ref. [46], since the thin-wall approximation is a reliable tool at  $T \approx T_c$ . Note that most effective models also predict small values as  $\Sigma \leq 30 \text{ MeV/fm}^2$ , such as the MIT bag model [67], NJL model [68, 69], three-flavor PQM model [44], the chiral nucleon-meson model [70], and the Friedberg-Lee model [37]. Such a small value of the surface tension would lead to quark-matter formation during core-collapse supernova explosions and favor a mixed phase in the cores of compact stars, so that this reasonably small surface tension could provide an observable signal of the first-order phase transition within compact stars and play an important role in astrophysics.

Notably, most studies on the subject of surface tension are based on the quark model. Such as the MIT model, the NJL model, the QM model, or their modernized versions, the PNJL and PQM models. Taking the QM model as a typical example, since it only has the degree of freedom of the quarks, although the model can be successfully used to study the quark phase, it cannot be used to describe the properties of nuclear matter directly. Of course, based on the picture of the non-topological soliton, we can, in principle, reconstruct and get the correct degree of freedom of the nucleons in the hadron phase, as done in Refs. [39, 40]. However, such a consideration will lose the knowledge of the entire potential, which is a necessary condition to find the bounce solution during the hadron quark phase transition. To compensate for this problem, it is indispensable to study the first-order phase transition based on other effective models, as it can give a successful description of the properties of nuclear matter at saturation density, the nuclear liquid-gas phase transition, or even in the region of the hadron quark phase transition. Fortunately, the chiral nucleon-meson model [71, 72] fulfils the condition and the surface tension has been calculated and obtained in Ref. [70]. From this work, a small value of the surface tension is also reported and the results obtained are similar to our results when  $T \rightarrow T_c$ . Furthermore, besides the hadron quark phase transition, the first-order nuclear liquid-gas phase transition and the surface tension have also been investigated in Ref. [70]. Therefore, by combining their results with our present study, we can provide a comprehensive picture of the hadron quark phase transition from the vacuum to the quark phase.

For a weak first-order phase transition, our results show a rapid decrease in temperature in the saddle-point



**Fig. 9.** (color online) Surface tension as a function of a quark chemical potential when  $T \approx T_c$ . The solid line is for the "top-down" scenario and the dashed line is for the "bottom-up" scenario.

action of critical bubbles from infinity at the critical temperature to zero at the spinodal critical temperature. This implies that we can always determine when  $S_3/T \approx 1$  for the weak first-order phase transition. However, for a strong first-order phase transition, the saddle-point action of critical bubbles shows some different characterizations. When the temperature increases, it first decreases to a minimum value, then it rises up and diverges as the temperature approaches the critical temperature  $T_c$ . This non-monotonic behavior of the  $S_3/T$  as the temperature increases is also reported in a previous study based on the Friedberg-Lee model [37]. Thus, it can be taken as a salient feature of a strong first-order phase transition in comparison with the weak ones. Another interesting character of the  $S_3/T$  is that the saddle-point action never gets the chance to reach unity 1 for a strong first-order phase transition. The result can be roughly interpreted with the former study in Fig. 6 in Ref. [37], where the evolution of the  $S_3/T$  as a function of the chemical potential shows that for a fixed temperature the saddle-point action will increase and reach unity 1 quickly when the chemical potential increases to  $\sim 231 \text{ MeV}$ . Hence, the  $S_3/T$  is believed to satisfy the condition  $S_3/T > 1$  in the present study since we have a lower temperature and a larger chemical potential. Given the exponential dependence of  $\Gamma$  on  $S_3/T$ , the decay of the false vacuum is to be exponentially suppressed and the system is likely to stay in the metastable state for a relatively long time when  $S_3/T > 1$ . Therefore, the false vacuum could survive and exist as a metastable state as long as the temperature lies between the up and low spinodal lines. Only if the temperature is close to the spinodal critical line, will the  $S_3/T$  decline to reach unity 1. More specifically, a "conventional" hadron matter among the critical coexistence line and the low spinodal line could be potentially treated as a quark matter. Then, the exotic structures of the strong-interaction matter predicted by theoretical calculations should be reconsidered and revised accordingly, such as the

quarkyonic matter [73, 74], pion superfluidity [75, 76], color superconductors [77, 78], and the inhomogeneous chiral condensates along the first-order phase transition line [79]. In contrast, based on QCD theoretical calculations, besides the CEP already discussed in above, there is also a possibility that the first-order phase boundary ends at another critical point in the lower-temperature and higher-density region according to the so called the quark-hadron continuity [80, 81]. Below such a CEP, the order of the QCD phase transition is a crossover because the cold, dense QCD matter with three degenerate flavors may have no clear border between superfluid nuclear matter and superconducting quark matter. This means that our study should be constrained to a very narrow region in the first-order phase transition, even if there is no first-order phase transition by some possibility. Therefore, the results surrounding the very low temperature should be modified accordingly.

In the present study, we have only simply considered the mean-field approximation, and the role of the important thermodynamic fluctuations has been ignored completely. Any attempts to go beyond the mean-field approximation are worth exploring to identify their effects on the study via phase diagrams, the order of phase transition, and, particularly, the dynamics of the first-order phase transition. Among these methods, a functional renormalization group [63, 82, 83] and the Cornwall–Jackiw–Tomboulis (CJT) formalism based on the two-

particle irreducible (2PI) action [84–86] are popular approaches. Such an extension is straightforward but technically complicated. Moreover, besides thermal fluctuations, quantum fluctuations have simply been ignored in the present study. According to the homogenous nucleation theory, when the temperature is very low, and  $R \leq \beta = 1/T$ , the argument suggested by Linde [35] is not satisfied, and the tunneling of the false vacuum will be induced by quantum fluctuations. At the moment, we have to calculate the tunneling rate for  $O(4)$  bounce in Eq. (15) rather than the three-dimensional action in Eq. (16). Therefore, all calculations included in the low temperature limit are considered in light of the assumption that thermal fluctuations dominate quantum fluctuations. Since the thermal fluctuations are suppressed when the temperature is very low, the quantum fluctuations are believed to take effect and play a dominant role. Here, incorporating the quantumly-induced tunneling rate and the thermally-induced tunneling rate in the quark-meson model simultaneously is left for future consideration. We believe all these studies, in both directions, will bring us closer to the real QCD world.

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