

# $e^+e^- \rightarrow$ charm cross sections via ISR<sup>\*</sup>

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**Abstract** We discuss recent measurements of exclusive  $e^+e^-$  cross sections for charmed hadron final states near threshold performed by Belle and BABAR. The results are based on a study of events with initial-state-radiation photons in a large data sample collected with the Belle and BABAR detectors at the  $\Upsilon(4S)$  resonance and nearby continuum.

**Key words** charmonium states, open charm threshold, cross section

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## 1 Introduction

The  $J^{PC} = 1^{--}$  charmonium states above open charm threshold were first observed in  $e^+e^-$  annihilation almost thirty years ago. The  $\psi(3770)$  was measured by MARK-I [1], DELCO [2], MARK-II [3] and BES [4, 5]; the  $\psi(4040)$  and  $\psi(4160)$  were measured by DASP [6]; and the  $\psi(4415)$  was measured by DASP [6] and MARK-I [7]. Subsequently, although additional  $e^+e^-$  annihilation cross section measurements in the region of the  $\psi$  were reported by the Crystal Ball [8] and BESII [9], no update of their parameters were done until 2005, when a combined fit to the new data was performed by K. Seth [10]. Recently, the BESII collaboration reported new parameter values for the  $\psi$  resonances [11] derived from a global fit to their cross section measurements. To take into account the interference effect, BESII relied on the model predictions for branching fractions of  $\psi$  states into all possible two-body charm meson final states. Thus the measured parameters include model uncertainty which is difficult to estimate.

Despite the kinematic accessibility of open-charm strong decay modes for the  $\psi$  resonances, their decays to exclusive final states remained unknown for years. The first measurements of exclusive  $e^+e^-$  cross sections for charmed hadron final states near threshold were performed by Belle [12–16] and BABAR [17, 18] using initial-state radiation (ISR). ISR allows a measurement of cross sections in a broad energy range while the high luminosity of the B-factories compen-

sates for the suppression associated with the emission of a hard photon. CLEO-c performed a scan over the energy range from 3.97 to 4.26 GeV and measured exclusive cross sections for open charm final states at thirteen points with high accuracy [19].

The discovery of unexpected charmonium-like states produced via  $e^+e^-$  annihilation with quantum numbers  $J^{PC} = 1^{--}$  (the Y(4260) [20, 21], Y(4360) and Y(4660) [22, 23]) has stimulated renewed interest in measurements of exclusive cross sections for charmed hadrons. Surprisingly, no evidence for open-charm production associated with these new states has been observed.

## 2 Exclusive $e^+e^-$ cross sections via ISR at B-factories

### 2.1 $e^+e^- \rightarrow D\bar{D}, D\bar{D}^*, D^*\bar{D}^*$ cross sections

Cross section for  $e^+e^- \rightarrow D\bar{D}$  (where  $D = D^0$  or  $D^+$ ) were measured by Belle [12] (Fig. 1(a)) and BABAR [17] collaborations (Fig. 1(b)) by reconstructing both the  $D$  and  $\bar{D}$  mesons. The obtained results are in good agreement with each other. This includes a peak around 3.9 GeV/ $c^2$  that is seen both in Belle and BABAR cross sections spectra, which is in qualitative agreement with coupled-channel model prediction [24]. Belle and BABAR calculated the cross section ratio  $\sigma(e^+e^- \rightarrow D^0\bar{D}^0)/\sigma(e^+e^- \rightarrow D^+D^-)$  at the  $\psi(3770)$  peak to be  $(1.39 \pm 0.31 \pm 0.12)$  and  $(1.78 \pm 0.33 \pm 0.24)$ , respectively. These values

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are in agreement with each other and with more accurate measurements by BES [25] ( $1.27 \pm 0.12 \pm 0.08$ ) and CLEO-c [26] ( $1.258 \pm 0.016 \pm 0.014$ ).

To measure the near-threshold  $e^+e^- \rightarrow D^+D^{*-}$  (Fig. 1(c)) and  $e^+e^- \rightarrow D^{*+}D^{*-}$  (Fig. 1(e)) cross sections [13], Belle used a method that achieves high efficiency by partial reconstruction of the hadronic final state. Aside from a prominent broad excess near

threshold, the  $e^+e^- \rightarrow D^+D^{*-}$  cross section is relatively featureless. The shape of the  $e^+e^- \rightarrow D^{*+}D^{*-}$  cross section is complicated with several local maxima and minima. The obtained cross sections are compatible<sup>1)</sup> within errors with the  $D^{(*)}\bar{D}^*$  exclusive cross section measured by BABAR [18] (Fig. 1(d), (e)), which measured both charged and neutral final states using their full reconstruction.

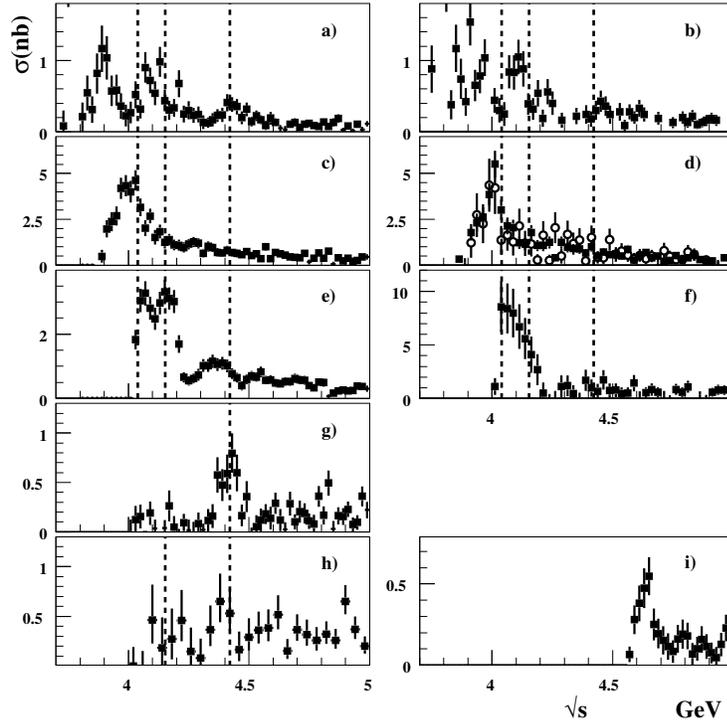


Fig. 1. The exclusive cross sections over the energy range from 3.7 to 5.0  $\text{GeV}/c^2$  for: a)  $e^+e^- \rightarrow D\bar{D}$  measured by Belle; b)  $e^+e^- \rightarrow D\bar{D}$  measured by BABAR; c)  $e^+e^- \rightarrow D^+D^{*-}$  at Belle; d)  $e^+e^- \rightarrow D\bar{D}^*$  (where  $D = D^0$  corresponds to black points and  $D = D^+$  to empty points) at BABAR; f)  $e^+e^- \rightarrow D^{*+}D^{*-}$  at Belle; e)  $e^+e^- \rightarrow D^*\bar{D}^*$  at BABAR; g)  $e^+e^- \rightarrow D^0D^-\pi^+$  at Belle; h)  $e^+e^- \rightarrow D^0D^{*-}\pi^+$  at Belle; i)  $e^+e^- \rightarrow \Lambda_c^+\Lambda_c^-$  measured by Belle. The dashed lines correspond to the masses of the  $\psi$  states [27].

To estimate relative strength of  $\psi$  states decay channels BABAR performed unbinned maximum likelihood fits to the  $D\bar{D}$ ,  $D\bar{D}^*$ , and  $D^*\bar{D}^*$  spectra [18]. The expected  $\psi$  signals were parameterized by  $p$ -wave relativistic Breit-Wigner (RBW) functions with their parameters fixed to the PDG08 values [27]. The 3.9  $\text{GeV}/c^2$  structure seen in  $D\bar{D}$  mass spectra was parameterized by an empiric function; the non-resonant contribution was parameterized in the simplest way. An interference between the resonances and the non-resonant contributions was required in the fit. The computed ratios of the branching fractions for the  $\psi$  resonances significantly disagree with the  $^3P_0$  quark model [28]. Adding the  $Y(4260)$

Table 1. Upper limits at 90% C.L. on the ratios  $\sigma(e^+e^- \rightarrow Y(4260) \rightarrow X)/\sigma(e^+e^- \rightarrow Y(4260) \rightarrow \pi^+\pi^-J/\psi)$  at  $E_{c.m.} = 4.26$  GeV (CLEO-c) and  $\mathcal{B}(Y(4260) \rightarrow X)/\mathcal{B}(Y(4260) \rightarrow \pi^+\pi^-J/\psi)$  (BABAR and Belle), where X is an open charm final state.

final state	CLEO-c	BABAR	Belle
$D\bar{D}$	4.0	7.6 (95% C.L.)	
$D\bar{D}^*$	45	34	
$D^*\bar{D}^*$	11	40	
$D\bar{D}^*\pi$	15		9
$D^*\bar{D}^*\pi$	8.2		
$D_s\bar{D}_s$	1.3		
$D_s\bar{D}_s^*$	0.8		
$D_s^*\bar{D}_s^*$	9.5		

1) Since only charged final states are measured, Belle results should be scaled by a factor of two for this comparison.

resonance contribution to the fits (which was allowed to interfere with all the other terms) BABAR obtained the upper limits on the ratios  $\mathcal{B}(Y(4260) \rightarrow D^{(*)}\bar{D}^{(*)})/(\mathcal{B}(Y(4260) \rightarrow \pi^+\pi^-J/\psi))$  to at 90% C.L. presented in Table 1.

## 2.2 $e^+e^- \rightarrow D^0D^-\pi^+$ cross section

The  $e^+e^- \rightarrow D^0D^-\pi^+$  cross section obtained by Belle using the full reconstruction of the hadronic final state [14] is shown in Fig. 1(g). A clear peak is evident near mass of the  $\psi(4415)$ . The study of the resonant structure in  $\psi(4415)$  decays evidently demonstrates clear signals for the  $\bar{D}_2^*(2460)^0$  and  $D_2^*(2460)^+$  mesons and positive interference between the neutral  $D^0\bar{D}_2^*(2460)^0$  and the charged  $D^-D_2^*(2460)^+$  decay amplitudes leading to the same final state for the decay of  $C = -1$  state. Because of the strong interference effect the neutral and the charged final states were not separated in this study. To compare mass and width of the obtained  $\psi(4415)$  signal with the corresponding resonance parameters measured in the inclusive study, Belle performed a likelihood fit to the  $M_{D\bar{D}_2^*(2460)}$  distribution with the  $\psi(4415)$  signal parameterized by an  $s$ -wave RBW function. The significance for the signal is  $\sim 10\sigma$ . The obtained peak mass  $M_{\psi(4415)} = (4.411 \pm 0.007(\text{stat.})) \text{ GeV}/c^2$  and total width  $\Gamma_{\text{tot}} = (77 \pm 20(\text{stat.})) \text{ MeV}/c^2$  are in good agreement with the PDG06 [29] values and the BES fit results [11]. The  $\psi(4415)$  peak cross section is calculated from the fitted RBW amplitude to be  $\sigma(e^+e^- \rightarrow \psi(4415)) \times \mathcal{B}(\psi(4415) \rightarrow D\bar{D}_2^*(2460)) \times \mathcal{B}(\bar{D}_2^*(2460) \rightarrow D\pi^+) = (0.74 \pm 0.17 \pm 0.08) \text{ nb}$ . Using  $\sigma(e^+e^- \rightarrow V) = 12\pi/M_V^2 \times (\Gamma_{ee}/\Gamma_{\text{tot}})$  the  $\mathcal{B}(\psi(4415) \rightarrow D\bar{D}_2^*(2460)) \times \mathcal{B}(\bar{D}_2^*(2460) \rightarrow D\pi^+) = (10.5 \pm 2.4 \pm 3.8)\%$  for the  $\psi(4415)$  parameters from the PDG06 and  $(19.5 \pm 4.5 \pm 9.2)\%$  for the  $\psi(4415)$  parameters from BES fit results. Belle obtained an UL on the non-resonant (nr)  $D^0D^-\pi^+$  production in the  $\psi(4415)$  decay to be  $\mathcal{B}(\psi(4415) \rightarrow (D^0D^-\pi^+)_{\text{nr}})/\mathcal{B}(\psi(4415) \rightarrow D\bar{D}_2^*(2460) \rightarrow D^0D^-\pi^+) < 0.22$  at 90% C.L.

Table 2. The ULs on the peak cross section for the processes  $e^+e^- \rightarrow X \rightarrow D^0D^-\pi^+$  at  $E_{\text{c.m.}} = m_X$ ,  $\mathcal{B}_{ee} \times \mathcal{B}(X \rightarrow D^0D^-\pi^+)$  and  $\mathcal{B}(X \rightarrow D^0D^-\pi^+)/\mathcal{B}(X \rightarrow \pi^+\pi^-J/\psi(\psi(2S)))$  at 90% C.L., where  $X = Y(4260)$ ,  $Y(4350)$ ,  $Y(4660)$ ,  $X(4630)$ .

	Y(4260)	Y(4350)	Y(4660)	X(4630)
$\sigma(e^+e^- \rightarrow X) \times \mathcal{B}(X \rightarrow D^0D^-\pi^+)/\text{nb}$	0.36	0.55	0.25	0.45
$\mathcal{B}_{ee} \times \mathcal{B}(X \rightarrow D^0D^-\pi^+)$ , [ $\times 10^{-6}$ ]	0.42	0.72	0.37	0.66
$\mathcal{B}(X \rightarrow D^0D^-\pi^+)/\mathcal{B}(X \rightarrow \pi^+\pi^-J/\psi)$	9			
$\mathcal{B}(X \rightarrow D^0D^-\pi^+)/\mathcal{B}(X \rightarrow \pi^+\pi^-\psi(2S))$		8	10	

1) The latter state is discussed in the next section.

## 2.3 $e^+e^- \rightarrow D^0D^*\pi^+$ cross section

The  $e^+e^- \rightarrow D^0D^*\pi^+$  exclusive cross section measured by Belle [15] is shown in Fig. 1(h). Belle performed a likelihood fit to the  $M_{D^0D^*\pi^+}$  distribution where the expected  $\psi(4415)$  signal contribution is parameterized by an  $s$ -wave RBW function with the mass and total width fixed to the PDG08 values [27]. To take a non-resonant  $D^0D^*\pi^+$  contribution into account a threshold function with a free normalization was used. The statistical significance for the  $\psi(4415)$  signal is  $3.1\sigma$  only. An UL on the peak cross section from the fitted RBW amplitude is  $\sigma(e^+e^- \rightarrow \psi(4415)) \times \mathcal{B}(\psi(4415) \rightarrow D^0D^*\pi^+) < 0.76 \text{ nb}$  at 90% C.L. Using PDG08 values of the  $\psi(4415)$  mass, total and electron widths [27] Belle found  $\mathcal{B}(\psi(4415) \rightarrow D^0D^*\pi^+) < 10.6\%$  at 90% C.L. To obtain limits on the decays  $X \rightarrow D^0D^*\pi^+$ , where  $X$  denotes  $Y(4260)$ ,  $Y(4350)$ ,  $Y(4660)$  or  $X(4630)$  states<sup>1</sup>, Belle performed four likelihood fits to the  $M_{D^0D^*\pi^+}$  spectrum each with one of the  $X$  states, the  $\psi(4415)$  state and a non-resonant contribution. The  $X$  masses and total widths are fixed from Refs. [16, 27, 30]. The calculated ULs on the peak cross sections for  $e^+e^- \rightarrow X \rightarrow D^0D^*\pi^+$  processes and  $\mathcal{B}_{ee} \times \mathcal{B}(X \rightarrow D^0D^*\pi^+)$  are presented in Table 2. Finally, for  $Y(4260)$ ,  $Y(4350)$  and  $Y(4660)$  states the ULs on  $\mathcal{B}(X \rightarrow D^0D^*\pi^+)/\mathcal{B}(X \rightarrow \pi^+\pi^-J/\psi(\psi(2S)))$  were estimated using corresponding  $\mathcal{B}_{ee} \times \Gamma_i$ , where  $\Gamma_i$  is a partial width to the observation modes. All ULs presented in Table 2 include systematic uncertainties.

## 2.4 $e^+e^- \rightarrow \Lambda_c^+\Lambda_c^-$ cross section

The  $e^+e^- \rightarrow \Lambda_c^+\Lambda_c^-$  cross section was measured by Belle [16] using partial reconstruction (Fig. 1(i)). A clear peak is evident near the threshold. Assuming the observed peak to be a resonance, Belle obtained its parameters to be  $M = (4634_{-7}^{+8+5}) \text{ MeV}/c^2$  and  $\Gamma_{\text{tot}} = (92_{-24}^{+40+10}) \text{ MeV}$ . The significance including systematics is  $8.2\sigma$ . The observed structure was denoted  $X(4630)$ . The peak cross section is calculated

from the fitted RBW amplitude to be  $\sigma(e^+e^- \rightarrow X(4630)) \times \mathcal{B}(X(4630) \rightarrow \Lambda_c^+\Lambda_c^-) = (0.47_{-0.10}^{+0.11+0.05} \pm 0.19)$  nb;  $\Gamma_{ee}/\Gamma_{\text{tot}} \times \mathcal{B}(X(4630) \rightarrow \Lambda_c^+\Lambda_c^-) = (0.68_{-0.15-0.11}^{+0.16+0.07} \pm 0.28) \times 10^{-6}$ . The nature of this enhancement remains unclear. Although both mass and width of the X(4630) are consistent within errors with those of the Y(4660) supporting explanation that  $X(4630) \equiv Y(4660)$  [31], this coincidence does not exclude other interpretations for the X(4630) as a conventional charmonium state [32], a baryon-antibaryon threshold effect [33], point-like baryons [34] or a tetraquark state [35].

### 3 Exclusive $e^+e^-$ cross sections from the CLEO-c energy scan

The CLEO-c collaboration performed the measurements of exclusive cross sections (at energies between 3.97 and 4.26 GeV) for final states consisting of two charm mesons,  $D\bar{D}$ ,  $D\bar{D}^*$ ,  $D^*\bar{D}^*$ ,  $D_s\bar{D}_s$ ,  $D_s\bar{D}_s^*$ ,  $D_s^*\bar{D}_s^*$ , and for processes in which the charm-

meson pair is accompanied by a pion [19]. The total charm cross section has been measured both inclusively and for specific two-body and multi-body final states. Internal consistency is found to be excellent. The radiatively-corrected inclusive cross section is in a good agreement with Crystal Ball [8] and BESII [9] results.

Similarly to BABAR and Belle, CLEO-c found no evidence for an enhancement of the cross section for any open-charm final states at 4.26 GeV and obtained conservative upper limits on the ratio  $\sigma(Y(4260) \rightarrow X)/\sigma(Y(4260) \rightarrow \pi^+\pi^-J/\psi)$  at  $E_{\text{c.m.}} = 4.26$  GeV, where X is open-charm final states. The compilation of these limits and the results obtained by BABAR and Belle are presented in Table 1.

Lack of obvious enhancement in any open-charm channel relative to other energies, which is in dramatic contrast to the clear enhancement in  $\pi^+\pi^-J/\psi$ , tends to disfavor the hybrid models (that predict a large coupling to the wide  $D_1(2430)^0\bar{D}^0$  and a small one to  $D_s\bar{D}_s$  [36]) and tetraquark interpretation (that suggests a large decay to  $D\bar{D}$  or  $D_s\bar{D}_s$  [36–38]).

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