

# Measurement of Strong Coupling Constant at Low Energy Range<sup>\*</sup>

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**Abstract** The strong coupling  $\alpha_s(s)$  is an important free parameter of Quantum Chromodynamics. Based on  $R$  values measured at BES, the values of the strong running coupling constant  $\alpha_s(s)$  at 2.0—3.7 GeV are determined using the  $\mathcal{O}(\alpha_s^3)$  and  $\mathcal{O}(\alpha_s^4)$  order expressions calculated by pQCD, then  $\alpha_s(s)$  is deduced to the  $M_z$  scale. The numerical prediction on the improvement of the uncertainty of  $\alpha_s(s)$  with the decrease of the experimental error of  $R$  value in the future experiment is also given.

**Key words**  $R$  value, strong coupling constant, least squares fit

## 1 Introduction

The strong coupling  $\alpha_s$  is a basic parameter in Quantum Chromodynamics (QCD). The precise determination of  $\alpha_s$  and its evolution with energy have significant effects on all the hadronic theories and experiments. QCD predicts the energy dependence of  $\alpha_s$  and the asymptotic freedom property. But the actual value of  $\alpha_s$  cannot be predicted by QCD, it must be determined from experiments, such as deep inelastic scattering<sup>[1]</sup>,  $\tau$  decay<sup>[2, 3]</sup> and  $e^+e^-$  annihilation<sup>[4]</sup> processes.

The cross section of  $e^+e^- \rightarrow$  hadrons is often expressed as  $R$  value,  $\sigma_{\text{had}}(s) = R \cdot \sigma_{\mu\mu}(s)$ , where  $s$  is the squared center-of-mass energy in  $e^+e^-$  annihilation. The value of  $\alpha_s$  can be obtained by solving the equation  $R_{\text{QCD}}(\alpha_s) = R_{\text{exp}}(s)$  with the conventional method<sup>[4]</sup>, where  $R_{\text{QCD}}(\alpha_s)$  is the expression calculated by perturbative QCD, and  $R_{\text{exp}}$  is the experimental  $R$  value. Fig. 1 shows the values of  $\alpha_s$  and their uncertainties determined from experiments.

In this work,  $R$  values measured at BES<sup>[5, 6]</sup> be-

tween 2.0—3.7 GeV are used to determine  $\alpha_s$  by both means of solving the equation and the least squares fitting respectively, and give its evolution to  $M_z$  scale. Through the latter method we can obtain the dimensional parameter  $\Lambda$  of QCD, and may predict the value of  $\alpha_s(s)$  at any energy in the fitting region, instead of only getting the separate values of  $\alpha_s(s_i)$  at the experimental energy points  $s_i$ , like the issue in the scheme of solving the equation. In the last section,

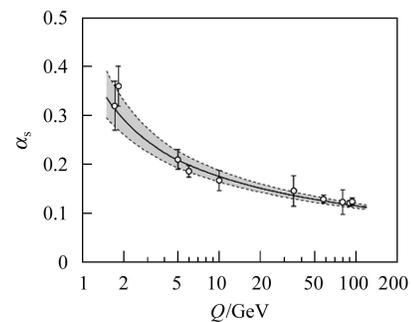


Fig. 1. The energy dependence of  $\alpha_s$ . The hollow dots with error bars are experimental results. The dash line is the experimental average, and the shadow indicates the region within  $\pm 1\sigma$ .

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the numerical prediction on the improvement of the uncertainty of  $\alpha_s(s)$  with the decrease of the experimental error of  $R$  value is also given.

## 2 QCD predictions on $\alpha_s(s)$ and $R$

In QCD,  $\alpha_s(s)$  actually depends on the energy scale  $Q^2$ . If the renormalized coupling  $\alpha_s$  is fixed at a certain given scale  $\mu^2$ , QCD can precisely derive the value of  $\alpha_s$  at any other energy scale  $Q^2$  through the renormalization group equation<sup>[7]</sup>

$$Q^2 \frac{\partial \alpha_s(Q^2)}{\partial Q^2} = \beta(\alpha_s(Q^2)). \quad (1)$$

In complete 4-loop approximation and using the  $A$ -parametrization, the running coupling is given by

$$\begin{aligned} \alpha_s(Q^2) = & \frac{1}{\beta_0 L} - \frac{\beta_1 \ln(L)}{\beta_0^3 L^2} + \\ & \frac{1}{\beta_0^3 L^3} \left( \frac{\beta_1^2}{\beta_0^2} (\ln^2(L) - \ln(L) - 1) + \frac{\beta_2}{\beta_0} \right) + \\ & \frac{1}{\beta_0^4 L^4} \left[ \frac{\beta_1^3}{\beta_0^3} \left( -\ln^3(L) + \frac{5}{2} \ln^2 L + 2 \ln L - \frac{1}{2} \right) - \right. \\ & \left. 3 \frac{\beta_1 \beta_2}{\beta_0^2} \ln(L) + \frac{\beta_3}{2 \beta_0} \right], \quad (2) \end{aligned}$$

where  $L = \ln(Q^2/A_{\overline{\text{MS}}}^2)$ ,  $\overline{\text{MS}}$  indicates the modified minimal subtraction scheme, and

$$\begin{aligned} \beta_0 &= \frac{33 - 2N_f}{12\pi}, \\ \beta_1 &= \frac{153 - 19N_f}{24\pi^2}, \\ \beta_2 &= \frac{77139 - 15099N_f + 325N_f^2}{3456\pi^3}, \\ \beta_3 &\approx \frac{29243 - 6946.3N_f + 405.089N_f^2 + 1.49931N_f^3}{256\pi^4}, \end{aligned}$$

with the number of active flavor  $N_f$ .

The strong coupling  $\alpha_s$  is not a direct observable quantity by itself, it should be determined by the experimental observable.  $R$  value can be expressed by a perturbation series in powers of the coupling parameter  $\alpha_s(s)$ . Up to the  $\mathcal{O}(\alpha_s^3)$  order, it may be written as<sup>[8]</sup>:

$$\begin{aligned} R_{\text{QCD}}(s) = & 3 \sum_f Q_f^2 \left[ 1 + \left( \frac{\alpha_s(s)}{\pi} \right) + r_1 \left( \frac{\alpha_s(s)}{\pi} \right)^2 + \right. \\ & \left. r_2 \left( \frac{\alpha_s(s)}{\pi} \right)^3 \right] + \mathcal{O}(\alpha_s^4), \quad (3) \end{aligned}$$

where

$$\begin{aligned} r_1 &= 1.9857 - 0.1153N_f, \\ r_2 &= -6.6368 - 1.2001N_f - 0.0052N_f^2 - \\ & 1.2395 \frac{\left( \sum_f Q_f \right)^2}{3 \sum_f Q_f^2}, \end{aligned}$$

with quark electric charge  $Q_f$ . In this work,  $N_f=3$  and  $Q_f$  is the electric charge of u, d, s quark.

If considering the higher order QCD correction, i.e. up to the 4-loop approximation ( $\mathcal{O}(\alpha_s^4)$ )<sup>[9]</sup>,

$$\begin{aligned} R_{\text{QCD}}(s) = & 3 \sum_f Q_f^2 \left[ 1 + \left( \frac{\alpha_s(s)}{\pi} \right) + (1.98571 - \right. \\ & 0.115295N_f) \left( \frac{\alpha_s(s)}{\pi} \right)^2 + (-6.63694 - \\ & 1.20013N_f - 0.00517836N_f^2) \left( \frac{\alpha_s(s)}{\pi} \right)^3 + \\ & \left. \left( \frac{\alpha_s(s)}{\pi} \right)^4 r_0^{\text{V},4} \right] + \mathcal{O}(\alpha_s^5). \quad (4) \end{aligned}$$

For the coefficient of  $\left( \frac{\alpha_s(s)}{\pi} \right)^4$ , it can be further decomposed as a polynomial in  $N_f$ , namely

$$r_0^{\text{V},4} = r_{0,0}^{\text{V},4} + r_{0,1}^{\text{V},4} N_f + r_{0,2}^{\text{V},4} N_f^2 + r_{0,3}^{\text{V},4} N_f^3, \quad (5)$$

with<sup>[10]</sup>

$$\begin{aligned} r_{0,0}^{\text{V},4} &= -186, & r_{0,1}^{\text{V},4} &= 21.3, \\ r_{0,2}^{\text{V},4} &= -0.797, & r_{0,3}^{\text{V},4} &= 2.15 \times 10^{-2}. \end{aligned}$$

In the above calculations, the massless approximation for the u, d and s quarks are adopted.

## 3 The determination of $\alpha_s(s)$

The energy range 2.0—3.7GeV belongs to the continuous region (except for the narrow resonances J/ $\psi$  and  $\psi'$ ), and it is below the open charm threshold. The interactive energy is far larger than the mass of the active quarks (u, d and s), so the prediction of the perturbative QCD is reliable in this energy region. In the following, two methods are used to determine  $\alpha_s$  from the measured  $R$  values, one is to solve the equation, and the other is to adopt the method of least squares. In QCD, the energy dependence of the running  $\alpha_s$  is a smooth curve, so using the least squares fitting guarantees the consistency and smoothness of

the energy dependence of  $\alpha_s$ , and avoids the discrepancy of the value of  $\alpha_s$  brought by the experimental errors of  $R$  value in the method of solving equation.

It is noticed that QCD indicates the strict restriction on the value of  $R_{\text{QCD}}$ . Fig. 2 shows the variation of  $R_{\text{QCD}}$  with  $\alpha_s$  varying from 0.0 to 1.0. It is found that the maximum  $R_{\text{QCD}}$  predicted by QCD theory is 2.385 for 3-loop approximation, and 2.1985 for 4-loop approximation. Therefore, some experimental values  $R_{\text{exp}}$  between 2.0—3.7GeV measured with BEPC/BES and other groups surpass the upper limit permitted by QCD, hence only the  $R$  values at four energy points  $\sqrt{s}=2.8, 2.9, 3.0$  and 3.7GeV are used in the method of solving equation, and the  $R$  values at eleven energy points  $\sqrt{s}=2.0, 2.2, 2.4, 2.5, 2.6, 2.7, 2.8, 2.9, 3.0, 3.7$  and 3.73GeV are adopted in the least squares fitting.

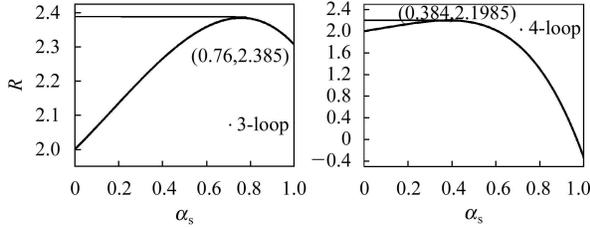


Fig. 2. The variation of theoretical  $R_{\text{QCD}}$  with  $\alpha_s$ , the left one is for Eq. (3), and the right one is for Eq. (4).

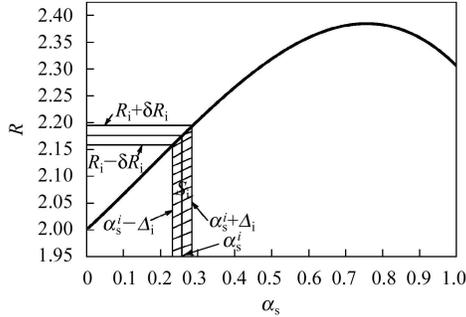


Fig. 3. The error calculation of  $\overline{\alpha_s}(5\text{GeV})$ .

In the method of solving the algebraic equation, it is supposed that the theoretical  $R_{\text{QCD}}(s)$  is equal

Table 1. The values of  $\alpha_s$  determined by  $R$  values measured with BES at 2.8, 2.9, 3.0 and 3.7GeV. The values of  $\alpha_s$  evolving to 5GeV are also shown. The first term is statistical error, and the second term is systematical error.

$\sqrt{s}/\text{GeV}$	$R_{\text{exp}}$	$\alpha_s(s)$	$\alpha_s/5\text{GeV}$
2.80	$2.17 \pm 0.06 \pm 0.14$	$0.251^{+0.091+0.233}_{-0.087-0.215}$	$0.207^{+0.056+0.126}_{-0.063-0.162}$
2.90	$2.22 \pm 0.07 \pm 0.13$	$0.326^{+0.118+0.249}_{-0.105-0.192}$	$0.257^{+0.064+0.121}_{-0.069-0.135}$
3.00	$2.21 \pm 0.05 \pm 0.11$	$0.311^{+0.080+0.215}_{-0.075-0.162}$	$0.251^{+0.047+0.114}_{-0.050-0.116}$
3.70	$2.23 \pm 0.08 \pm 0.08$	$0.342^{+0.141+0.141}_{-0.121-0.121}$	$0.296^{+0.095+0.095}_{-0.098-0.094}$

to the experimental value within one standard deviation:  $R_{\text{QCD}} = R_{\text{exp}} \pm \Delta R_{\text{exp}}$ , then  $\alpha_s(s) \pm \Delta\alpha_s(s)$  at energy  $s$  is obtained by solving Eq. (3) or Eq. (4). The value of  $\alpha_s(s)$  at other energy scale (usually to the standard reference scale  $M_Z$ ) can be derived from Eq. (2)<sup>[11]</sup>. The error calculation of  $\alpha_s(s)$  at each energy point is direct: the experimental values are taken as  $R_{\text{ex}} + \Delta R_{\text{exp}}$  and  $R_{\text{ex}} - \Delta R_{\text{exp}}$  respectively, and  $\alpha_{s-\Delta'_i}^{+\Delta'_i}$  are obtained, in which  $\Delta_i$  and  $\Delta'_i$  are unsymmetrical errors. All  $\alpha_s(s_i)$  may evolve to 5GeV using Eq. (2). And for the calculation of the  $\overline{\alpha_s}(5\text{GeV})$ , the area shown in Fig. 3 is used. The  $R_{\text{QCD}}$  curve and the two vertical lines cross  $\alpha_s$  axis at  $\alpha_{s_i} + \Delta_i$  and  $\alpha_{s_i} - \Delta'_i$  construct a region with area  $S_i$ . The weighted average of  $\alpha_s(5\text{GeV})$  is

$$\overline{\alpha_s}(5\text{GeV}) = \frac{\sum_i \alpha_s(s_i) S_i}{\sum_i S_i}, \quad (6)$$

where the area is

$$S_i = \int_{\alpha_{s_i} - \Delta'_i}^{\alpha_{s_i} + \Delta_i} R(\alpha_s) d\alpha_s. \quad (7)$$

The upper and the lower errors  $\overline{\Delta}_{\text{up}}$  and  $\overline{\Delta}_{\text{down}}$  of  $\overline{\alpha_s}(5\text{GeV})$  are calculated respectively through

$$\overline{\Delta}_{\text{up}} = \sqrt{\frac{1}{\sum_i \frac{1}{\Delta_i^2}}}, \quad \overline{\Delta}_{\text{down}} = \sqrt{\frac{1}{\sum_i \frac{1}{\Delta_i'^2}}}. \quad (8)$$

Evolving  $\overline{\alpha_s}(5\text{GeV})$  up to  $M_Z$ , we have

$$\alpha_s(M_Z) = 0.129^{+0.014}_{-0.021}, \quad (9)$$

which agrees with the world average value within error<sup>[12]</sup>,  $\alpha_s(M_Z) = 0.1176 \pm 0.002$ . The results are summarized in Table 1 and Table 2.

The second method is the least squares fitting. The object function of fitting is

$$\chi^2 = \sum_i \frac{(f \cdot R_{\text{exp}}(s_i) - R_{\text{QCD}}(s_i))^2}{(f \cdot \Delta \tilde{R}_{\text{exp}}^{(i)})^2} + \frac{(f-1)^2}{\sigma_f^2}, \quad (10)$$

Table 2. The evolution of  $\alpha_s$  from 5GeV to  $M_z$  scale.

$\sqrt{s}/\text{GeV}$	$\alpha_s/5\text{GeV}$	area $S$	$\overline{\alpha_s}/5\text{GeV}$	$\alpha_s(M_z)$
2.80	$0.207^{+0.138}_{-0.174}$	0.6628		
2.90	$0.257^{+0.137}_{-0.151}$	0.6245	$0.254^{+0.066}_{-0.071}$	$0.129^{+0.014}_{-0.021}$
3.00	$0.251^{+0.123}_{-0.127}$	0.5423		
3.70	$0.296^{+0.135}_{-0.133}$	0.5888		

where  $R_{\text{exp}}(s_i)$  and  $\Delta\tilde{R}_{\text{exp}}^{(i)}$  are the  $R$  value measured at the energy  $s_i$  and its error (not includes the common error) respectively,  $R_{\text{QCD}}(s_i)$  is the corresponding theoretical expressions in Eq. (3) or Eq. (4);  $f$  is the scale factor corresponding to the influence of the common error  $\sigma_f$ , and the sum runs over the measured energy points included in the fitting. The fitted parameters are  $\Lambda$  and  $f$ . The dimensional parameter  $\Lambda^{[13]}$  is directly obtained through fit, and  $\alpha_s(s)$  is gotten with Eq. (2). The fitted results are

$$\alpha_s(M_z) = 0.141^{+0.020}_{-0.025}, \quad \Lambda_{\overline{\text{MS}}} = 0.79 \pm 0.48 \text{GeV}, \quad (11)$$

for 3-loop, and

$$\alpha_s(M_z) = 0.131^{+0.011}_{-0.014}, \quad \Lambda_{\overline{\text{MS}}} = 0.58 \pm 0.25 \text{GeV}, \quad (12)$$

for 4-loop approximations respectively, see Fig. 4 and Fig. 5. The fit curve shown in Fig. 5 based on 4-loop approximation is constrained by the model predicted maximum  $R_{\text{QCD}}$  value 2.1985. The theoretical error may be estimated by comparing the difference between the results of 3-loop and 4-loop approximations. Therefore, the result may be reported

as  $\alpha_s(M_z) = 0.141^{+0.020}_{-0.025} \pm 0.010$ , the second term represents the theoretical uncertainty.

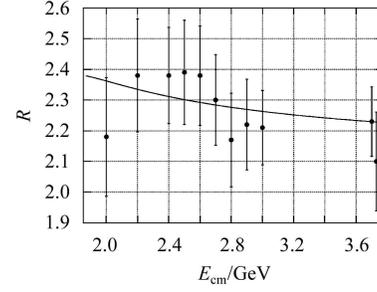


Fig. 4. The fit results for 3-loop approximation, dots with error bars are the experimental data. In the fitting,  $\chi^2/n_{\text{d.o.f}} = 3.03/9$ .

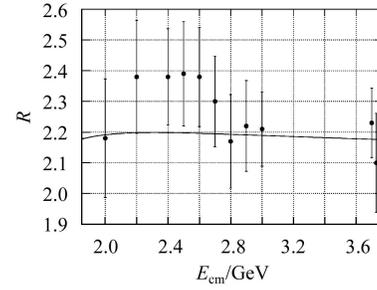


Fig. 5. The fit results for 4-loop approximation, dots with error bars are the experimental data. In the fitting,  $\chi^2/n_{\text{d.o.f}} = 4.23/9$ .

## 4 Determination of $\alpha_s(s)$ in the future

To measure  $R$  value with smaller error at the fu-

Table 3. The improvement of the uncertainty of  $\alpha_s(s)$  with the decrease of the experimental error of  $R$  value.

$E_{\text{cm}}/\text{GeV}$	$R_{\text{error}}$		3.0%		2.5%		2.0%		1.5%		1.0%	
	$\alpha_s$ error	$R$ error	Up(%)	Dw(%)								
2.00			37.7	35.4	31.1	29.6	24.7	23.7	18.4	17.8	12.2	11.9
2.10			38.1	35.9	31.4	29.9	25.0	24.0	18.6	18.1	12.3	12.1
2.20			38.4	36.3	31.8	30.3	25.3	24.3	18.8	18.3	12.5	12.2
2.30			38.8	36.8	32.0	30.7	25.5	24.6	19.0	18.5	12.6	12.4
2.40			39.2	37.2	32.4	31.0	25.8	24.9	19.2	18.7	12.8	12.5
2.50			39.6	37.6	32.8	31.4	26.0	25.2	19.4	18.9	12.9	12.6
2.60			40.0	38.1	33.0	31.8	26.3	25.4	19.6	19.1	13.0	12.7
2.70			40.2	38.5	33.3	32.1	26.5	25.8	19.8	19.3	13.1	12.9
2.80			40.6	38.9	33.6	32.4	26.7	26.0	20.0	19.5	13.2	13.0
2.90			41.0	39.3	33.9	32.7	27.0	26.2	20.2	19.7	13.3	13.2
3.00			41.4	39.7	34.3	33.1	27.3	26.5	20.4	19.9	13.5	13.3
3.10			41.6	40.1	34.4	33.4	27.4	26.7	20.4	20.1	13.5	13.4
3.20			42.0	40.4	34.8	33.7	27.7	27.0	20.7	20.2	13.7	13.5
3.30			42.3	40.8	35.0	34.0	27.8	27.2	20.8	20.4	13.8	13.7
3.40			42.6	41.1	35.3	34.2	28.1	27.4	21.0	20.6	14.0	13.7
3.50			42.9	41.5	35.6	34.6	28.3	27.6	21.1	20.8	14.1	13.8
3.60			43.1	41.8	35.8	34.8	28.3	27.9	21.3	20.9	14.1	14.0
3.70			43.4	42.1	36.0	35.1	28.7	28.1	21.4	21.0	14.2	14.1

ture BEPC II/BESIII is one of the important experimental subjects, which will decrease the uncertainty of  $\alpha_s$ . Using the similar method, the numerical predictions on the improvement of the uncertainty of  $\alpha_s(s)$  with the decrease of the experimental error of  $R$  value are given in Table 3. It shows that the un-

certainty of  $\alpha_s$  is about 12—15 times larger than the error of  $R$  value, so to determine  $\alpha_s$  with  $R$  value is not an economic way.

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## 低能区强耦合常数的测量\*

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**摘要** 强耦合常数  $\alpha_s(s)$  是量子色动力学最重要的参数. 基于 BES 的  $R$  值测量结果, 分别利用精确到 3 圈和 4 圈的微扰 QCD 的计算, 测定了  $\alpha_s(s)$  在 2.0—3.7 GeV 能量范围的数值, 并推断了  $\alpha_s(s)$  演化到  $Z^0$  能标下的值  $\alpha_s(M_z)$ . 同时对在未来实验中  $R$  值测量精度的提高对  $\alpha_s(s)$  的不确定性的减小作了定量的预言.

**关键词**  $R$  值 强耦合常数 最小二乘法拟合

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